

**ENSC327**  
**Communications Systems**  
**25: ISI and Pulse Shaping (Ch 6)**



Jie Liang  
School of Engineering Science  
Simon Fraser University

# Outline

---

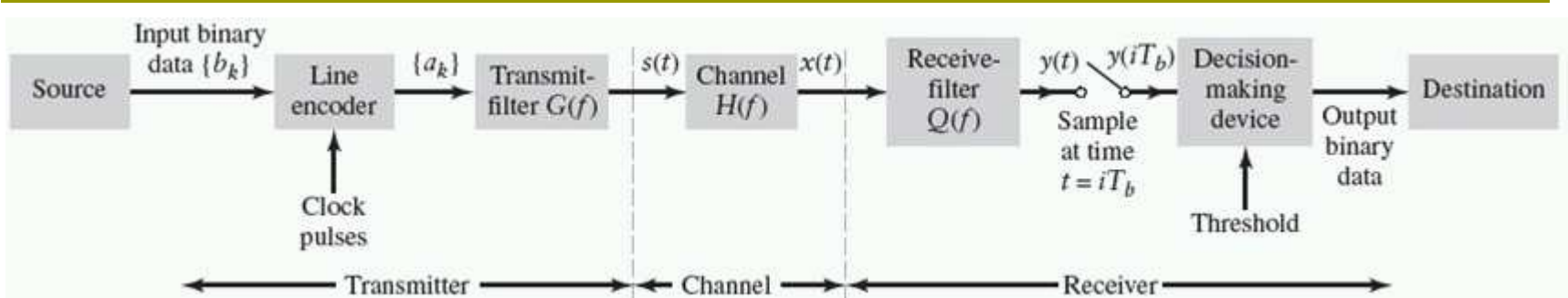
- Chapter 6: Baseband Data Transmission
  - No modulation, sending pulse sequences directly
  - Suitable for lowpass channels (eg, coaxial cables)
  - (Chap 7 will study digital bandpass modulation, for bandpass channels (eg, wireless) that need high-freq carrier)
- ISI and Pulse Shaping
  - ISI Definition
  - Zero ISI Condition
  - Nyquist Pulse Shaping Condition
  - Nyquist bandwidth
  - Nyquist channel

# Introduction

---

- ❑ A digital communication system involves the following operations:
  - Transmitter: maps the **digital** information to **analog** electromagnetic energy.
  - Receiver: records the **analog** electromagnetic energy, and recover the **digital** information.
- ❑ The system can introduces two kinds of distortions:
  - **Channel noise**: due to random and unpredictable physical phenomena.
    - ❑ Studied in Chap. 9 and 10.
  - **Intersymbol interference (ISI)**: due to imperfections in the frequency response of the channel.
    - ❑ The main issues is that previously transmitted symbols affect the current received symbol.
    - ❑ Studied in Chap. 6.

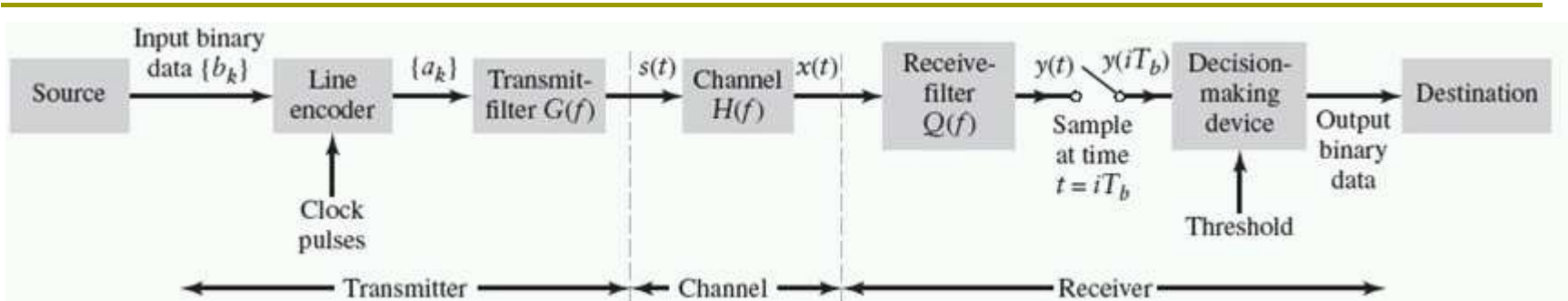
## 6.1 Baseband Transmission with PAM



- **Input:** binary data,  $b_k = 0$  or  $1$ , with duration  $T_b$ .
  - Bit rate:  $1/T_b$  bits/second.
  
- **Line encoder** (Chap. 5): electrical representation of the binary sequences, e.g.,

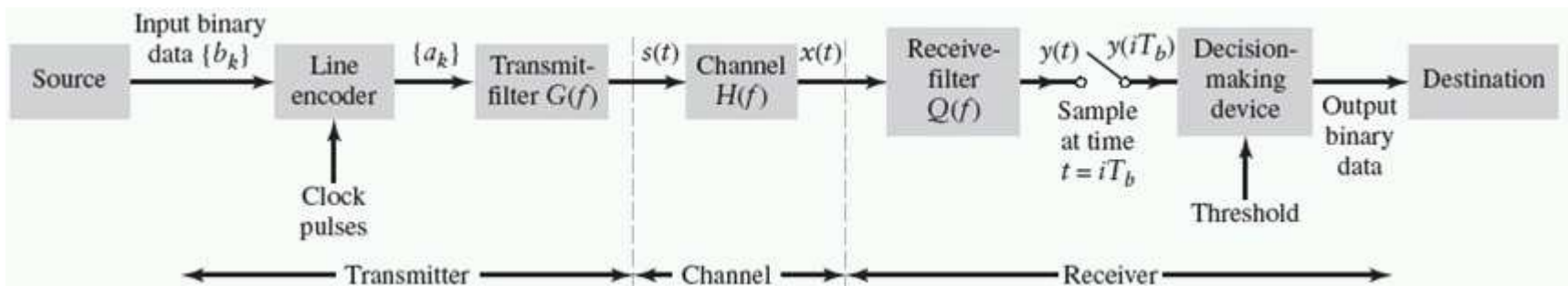
$$a_k = \begin{cases} +1, & b_k = 1, \\ -1, & b_k = 0. \end{cases}$$

## 6.1 Baseband Transmission with PAM



- ❑ **Transmit filter:** use pulses of different amplitudes to represent one or more binary bits.
- ❑ The basic shape is represented by a filter  $g(t)$  or  $G(f)$
- ❑ The output discrete PAM signal from the transmitter:

## 6.1 Baseband Transmission with PAM

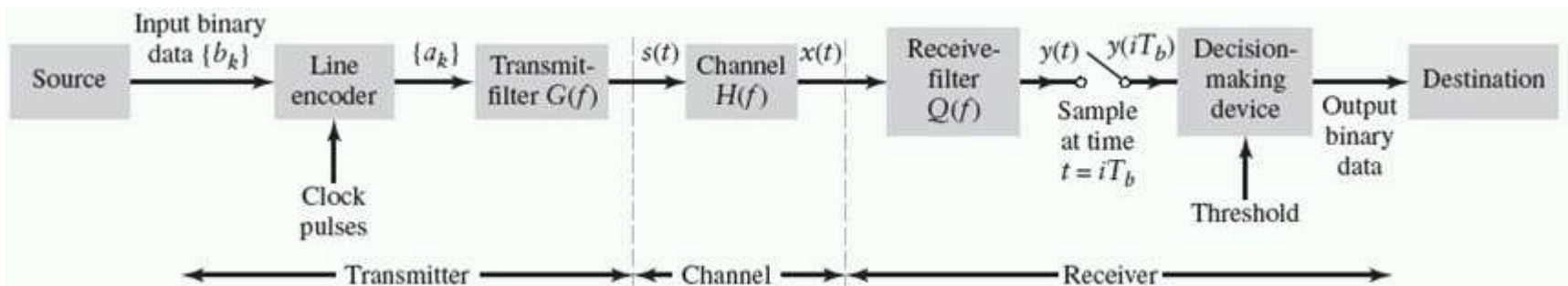


### □ Channel:

- If the channel is ideal, no distortion will be introduced.
- A practical channel can be represented by a linear, time invariant (LTI) filter.

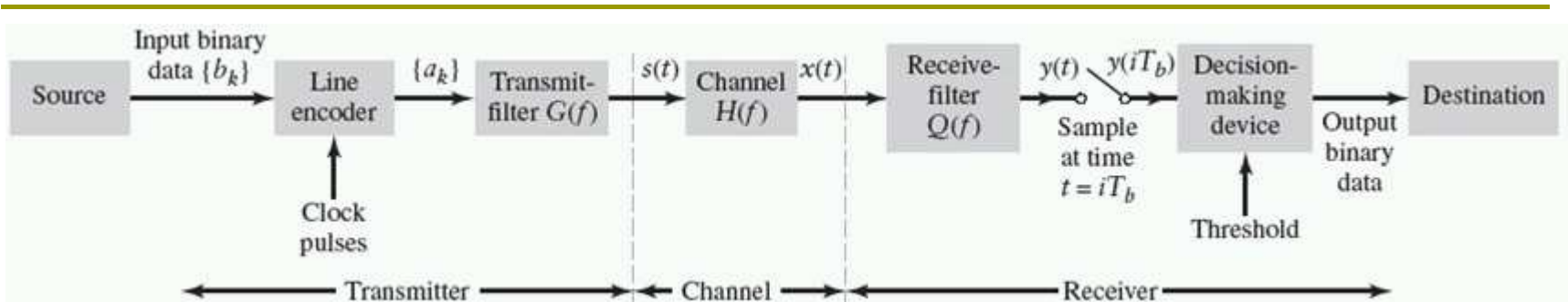
### □ The channel output: the convolution of the transmitted signal and the channel impulse response. This introduces intersymbol interference (ISI)

## 6.1 Baseband Transmission with PAM



- ❑ Receiver can be represented by another filter:
  - To remove noise and cancel channel distortion.
- ❑ The output is
- ❑ The output is then sampled synchronously with the clock at the transmitter.
- ❑ Finally, a decision-making device is used to recover the binary bits. Different methods can be used, e.g.,
  - Threshold, equalization, maximal likelihood decoding

# 6.1 Baseband Transmission



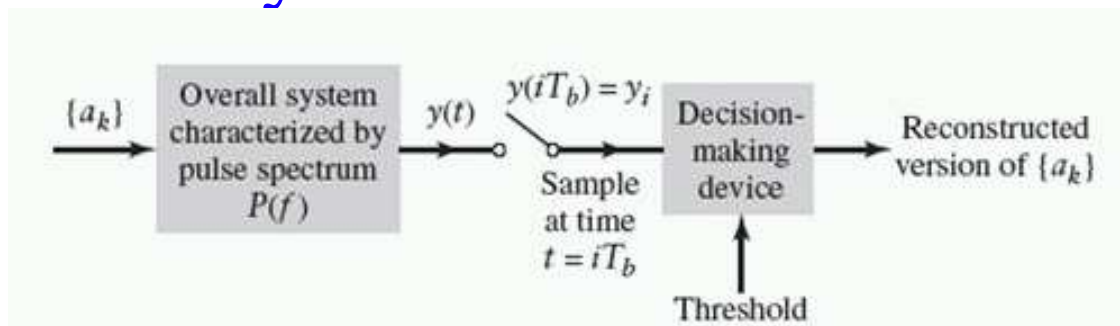
- ❑ The model can be used to study baseband system as well as bandpass system (via complex envelope)
- ❑ Objectives of pulse shaping:
  - ❑ Given  $h(t)$ , design  $g(t)$  and  $q(t)$  to eliminate the intersymbol interference (ISI).
  - ❑ The transmitted signal should have small bandwidth to meet the bandwidth constraint of the channel.



# Equivalent Model

- The joint effect of  $g(t)$ ,  $h(t)$ , and  $q(t)$  is a composite filter:

→ Equivalent system model:



- What is the condition on  $p(t)$  to eliminate ISI?

# Mathematical Definition of ISI

---

$$\text{source } \sum_k a_k \delta(t - kT_b) \quad \Rightarrow \quad y(t) = \sum_k a_k p(t - kT_b)$$

# Zero ISI Condition

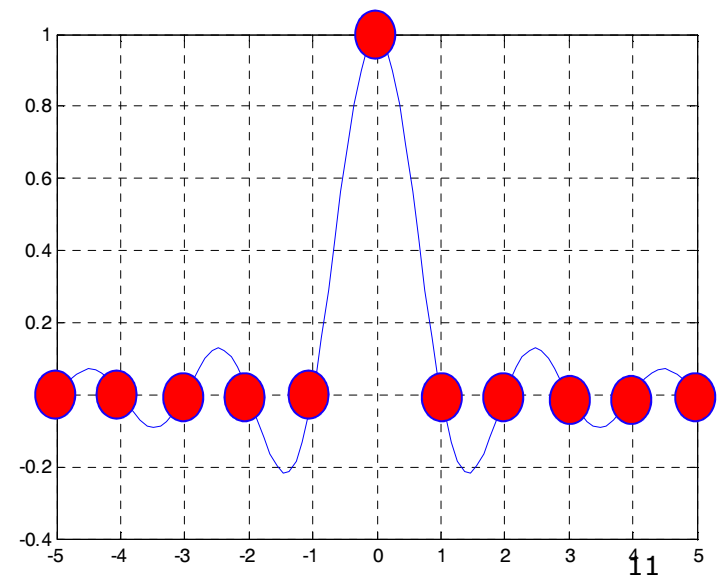
- To get  $\sum_{k \neq i} a_k p_{i-k} = 0$  for any  $a_k$ , the sample of  $p(t)$

should satisfy

$$p_i = p(iT_b) = \begin{cases} \sqrt{E}, & i = 0, \\ 0, & i \neq 0. \end{cases}$$

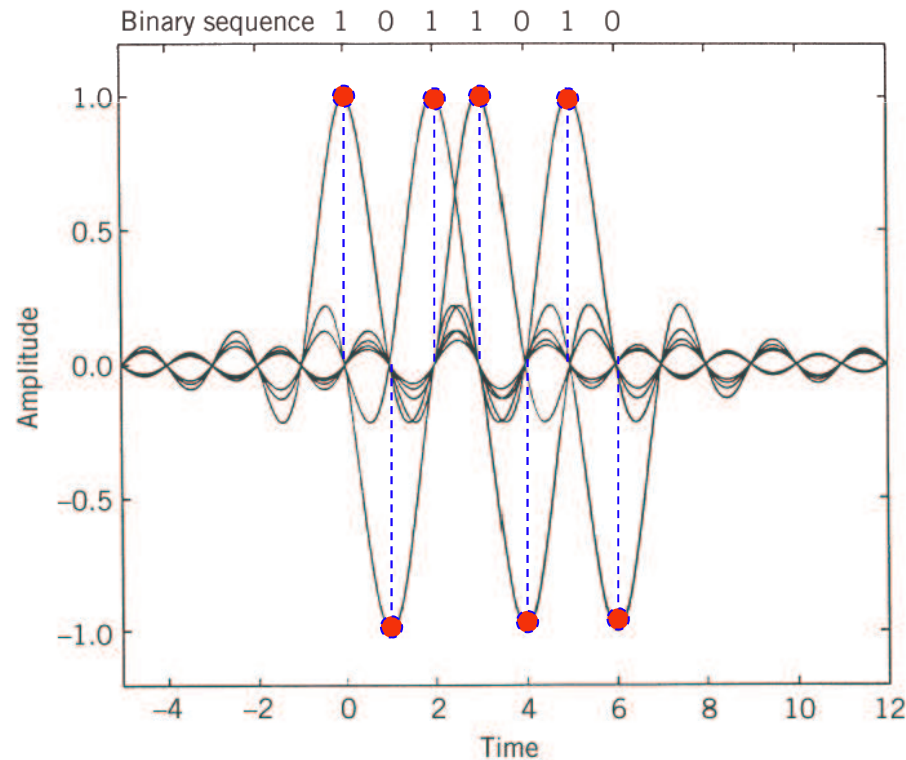
- This is true if, for example,

$$p(t) = \sqrt{E} \operatorname{sinc}\left(\frac{t}{T_b}\right).$$



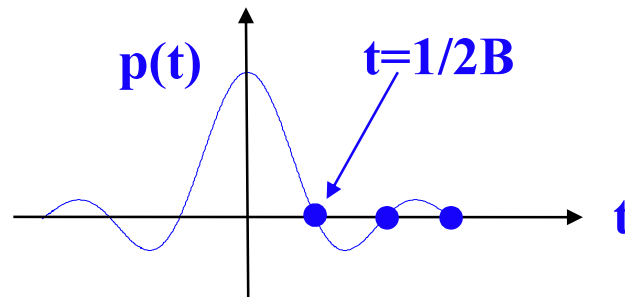
# Zero ISI Condition

**Example:** A series of sinc pulses corresponding to the sequence 1011010. **No ISI at sampling times.**



# Zero ISI Condition

---



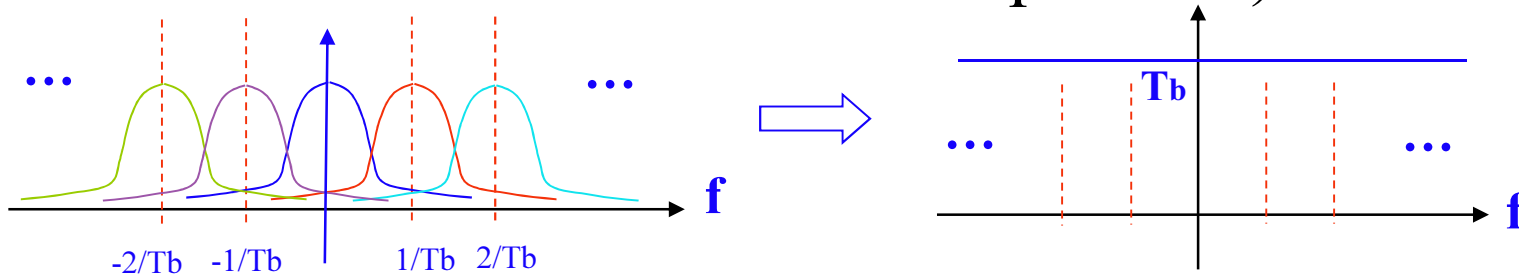
- ❑ Is sinc function a good composite filter? **No!**
  - Infinite duration
  - Non-causal
  - A small timing jitter can cause large ISI.
- ❑ Any other possibilities for  $p(t)$ ?
  - Yes. There are infinite number of solutions!
  - What is the general condition for  $p(t)$  to be ISI free?

# Nyquist Pulse Shaping Condition

The condition  $p_i = p(iT_b) = \begin{cases} \sqrt{E}, & i = 0, \\ 0, & i \neq 0. \end{cases}$  is achieved if the frequency response of  $p(t)$  satisfies

$$\sum_{k=-\infty}^{\infty} P\left(f + \frac{k}{T_b}\right) = T_b \sqrt{E}.$$

(The sum of all shifted versions of  $P(f)$  by multiple of  $1 / T_b$  should be constant at all frequencies).



# Nyquist Pulse Shaping Condition

---

Proof:



# Nyquist Pulse Shaping Condition

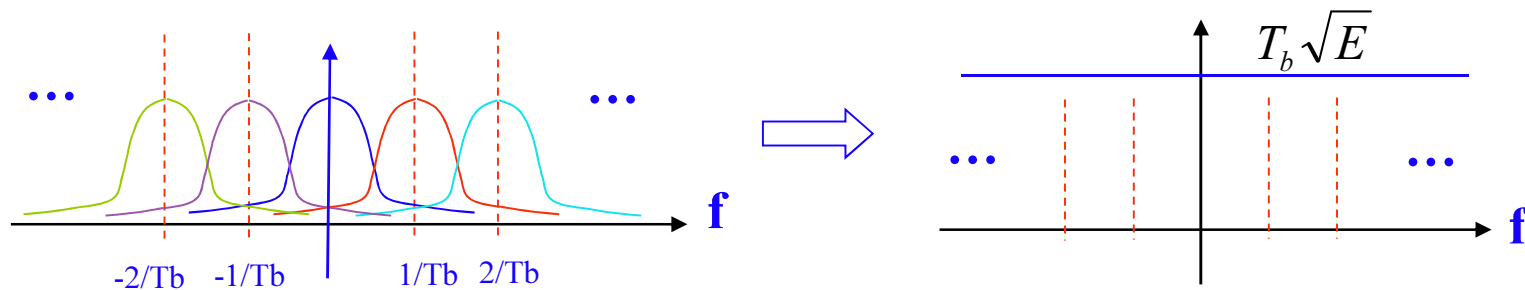
---



# Nyquist Pulse Shaping Condition

Note that  $\sum_{k=-\infty}^{\infty} P(f + \frac{k}{T_b})$  is periodic signal with period  $1 / T_b$   $\Rightarrow$

The condition  $\sum_{k=-\infty}^{\infty} P(f + \frac{k}{T_b}) = T_b \sqrt{E}$  should be true for all  $f$ .



The condition is not difficult to meet (e.g., by raised cosine pulse in Chap 6.4)

# Nyquist Channel and Nyquist Bandwidth

---

■ Special case:

■ If the bandwidth of  $P(f)$  is  
then to satisfy

$$B_0 = \frac{1}{2T_b}$$

$$\sum_{k=-\infty}^{\infty} P\left(f + \frac{k}{T_b}\right) = T_b \sqrt{E},$$

$P(f)$  must be



# Nyquist Pulse Shaping Condition

---

- If the bandwidth of  $P(f)$  is less than  $1 / (2T_b)$ ,

# Nyquist Pulse Shaping Condition

---

- If the bandwidth of  $P(f)$  is greater than  $1 / (2T_b)$ ,

# Nyquist Pulse Shaping Condition

$$\sum_{k=-\infty}^{\infty} P\left(f + \frac{k}{T_b}\right) = T_b \sqrt{E}.$$

□ Nyquist channel and Nyquist bandwidth:

- If the bit duration is fixed at  $T_b$ , or bit rate  $R_b$  is  $1/T_b$ , then the transmission bandwidth is

$$B_T \geq \frac{1}{2T_b} = \frac{1}{2} R_b$$

so the **minimal** bandwidth is half of the bit rate.

- On the other hand, if the transmission bandwidth is fixed at  $B_T$ , then

$$R_b \leq 2B_T$$

- the **maximal** transmitted bits per second (bit rate) is twice of the bandwidth.

