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HARBIN INSTITUTE OF TECHNOLOGY

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# Networked Control Systems with Application to Industrial Processes

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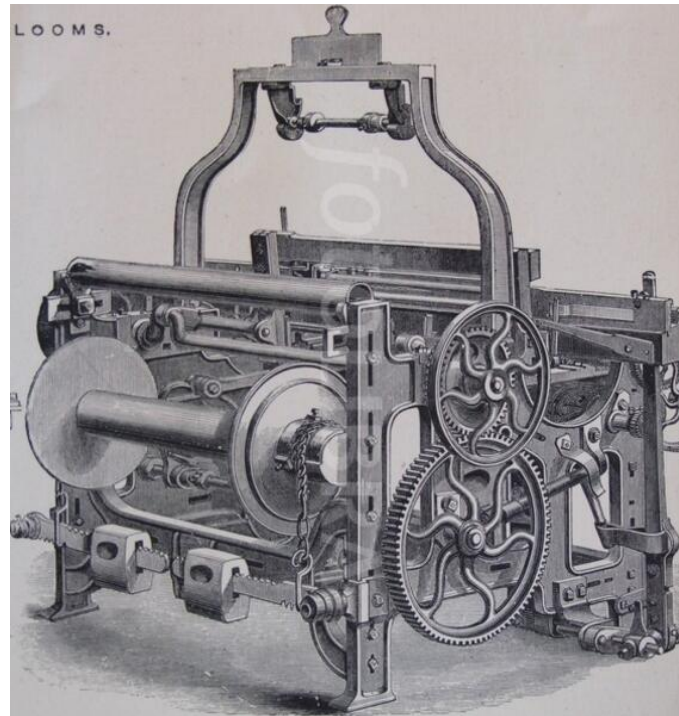
**3<sup>rd</sup> February, 2017**

# Outline

- **Background**
- **Networked Control and Estimation**
- **Networked Process Control**
- **Future Work**

## Industrial Revolution: From Industry 1.0 to Industry 4.0

**1st industrial  
revolution**



**Mechanical waving  
loom (1784)**

↑  
egree of complexity

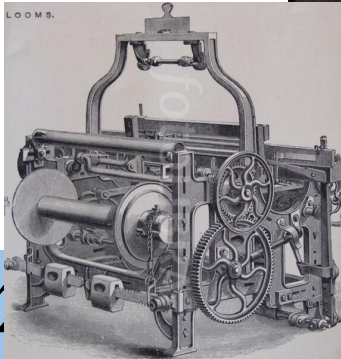
**1<sup>st</sup> industrial revolution**

Through introduction of mechanical production facilities with the help of water and steam power

1790s

## Industrial Revolution: From Industry 1.0 to Industry 4.0

**1<sup>st</sup> industrial  
revolution**



**Assembly line  
(1870)**

**degree of complexity**

**2<sup>nd</sup> industrial revolution**

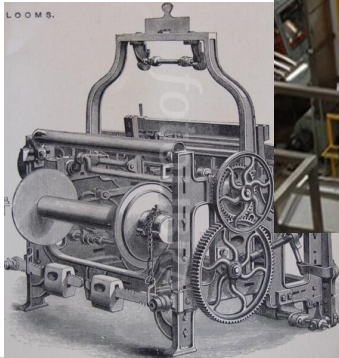
Through introduction of mass production with the help of electrical energy

1790s

1870s

## Industrial Revolution: From Industry 1.0 to Industry 4.0

1<sup>st</sup> industrial  
revolution



Programmable logic  
control system (1969)

Degree of complexity

Industrial revolution

Mechan  
waving  
(1784)

Through application of electronics and  
IT to further automate production

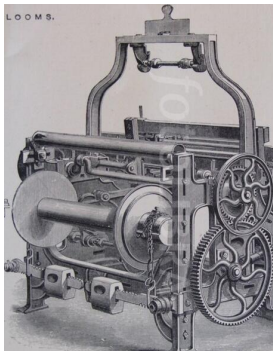
1790s

1870s

1970s

## Industrial Revolution: From Industry 1.0 to Industry 4.0

1<sup>st</sup> industrial revolution



4<sup>th</sup> industrial revolution

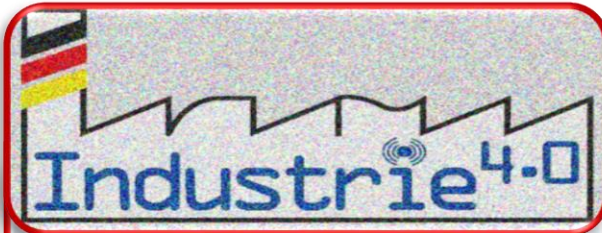
On the basis of cyber-physical systems (CPS),  
merging of real and virtual world

1790s

1870s

1970s

2010s



## ➤ Current Stage:

- Industry 3.0 (Most)
- Industry 4.0 (Part):  
*Trumpf, SAP, Bosch, Wittenstein, Festo, Boeing...*

## ➤ Objectives:

- Smart logistics
- Smart manufacturing
- Smart factory

**Germany Industry 4.0**



## ➤ Current Stage:

- Industry 3.0 (Most)
- Industry 4.0 (Part):  
*LIFT, Power America, AIM Photonics, Flexible hybrid electronics...*

## ➤ Objectives:

- Technological transformation
- Sustainable development

**U.S.A. NNMI**



## ➤ Current Stage:

- Industry 2.0 (Most)
- Industry 3.0 (Part):  
*Haier, Huawei, Lenovo, Baosteel...*

## ➤ Objectives:

- Upgrade industrial structure
- Moderate power
- Leading power

**Made In China 2025**

## Core of industry 4.0: Cyber-physical system (CPS)

### Computation

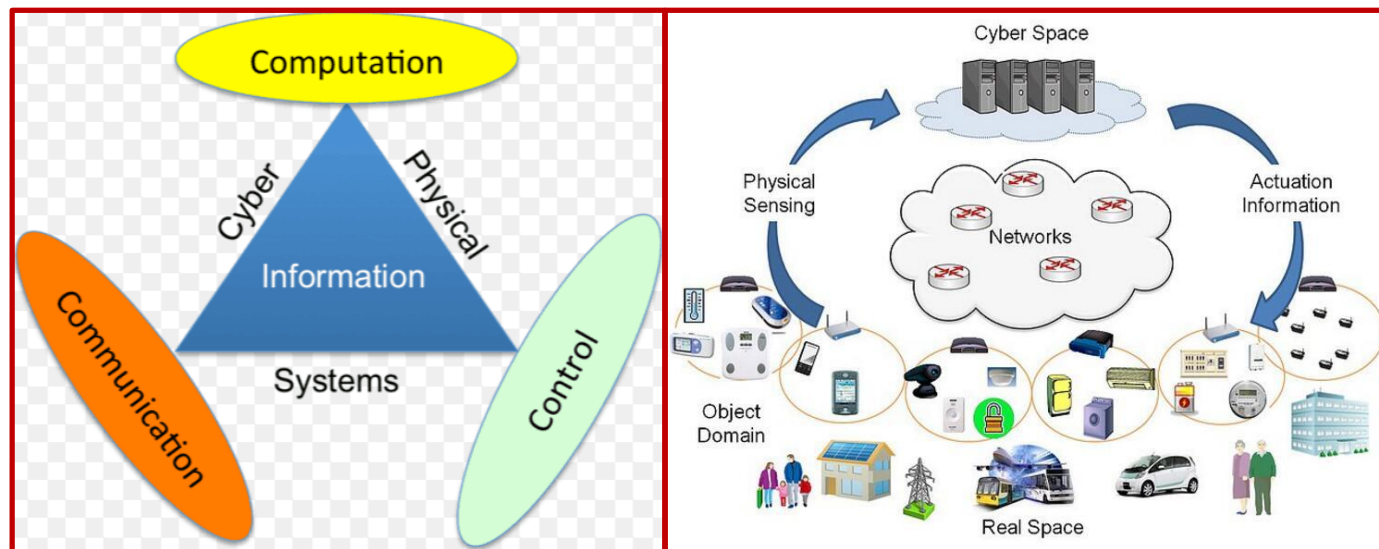
- Advanced computing
- Intelligent computing
- Online computing
- Smart protocol

### Communication

- Smart sensors
- Smart networks
- Ubiquitous connectivity
- Pervasive sensing

### Control

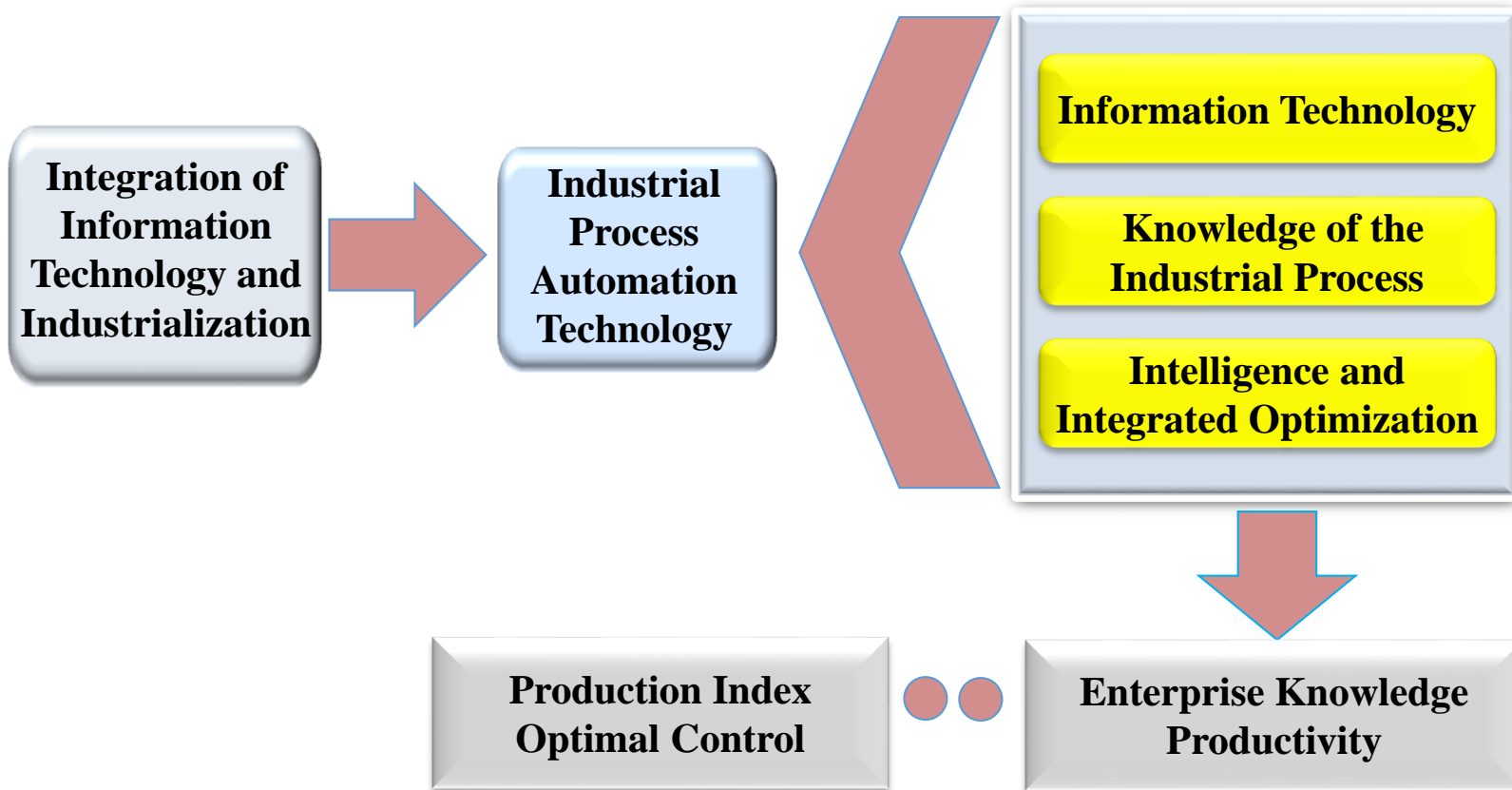
- Large-scale physical plants
- Embedded processors
- Secure-control





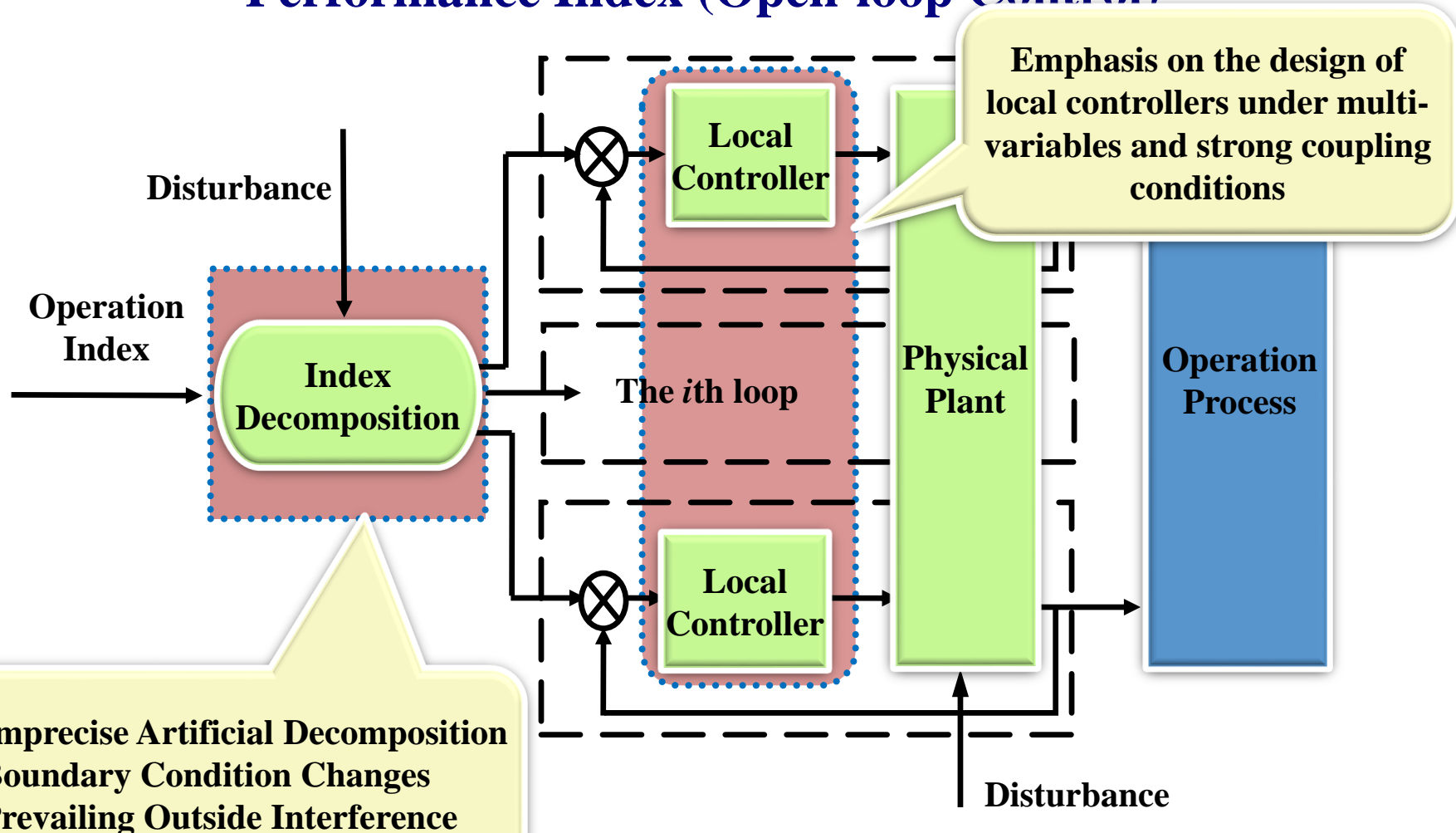


## Industrial Process Automation Technology



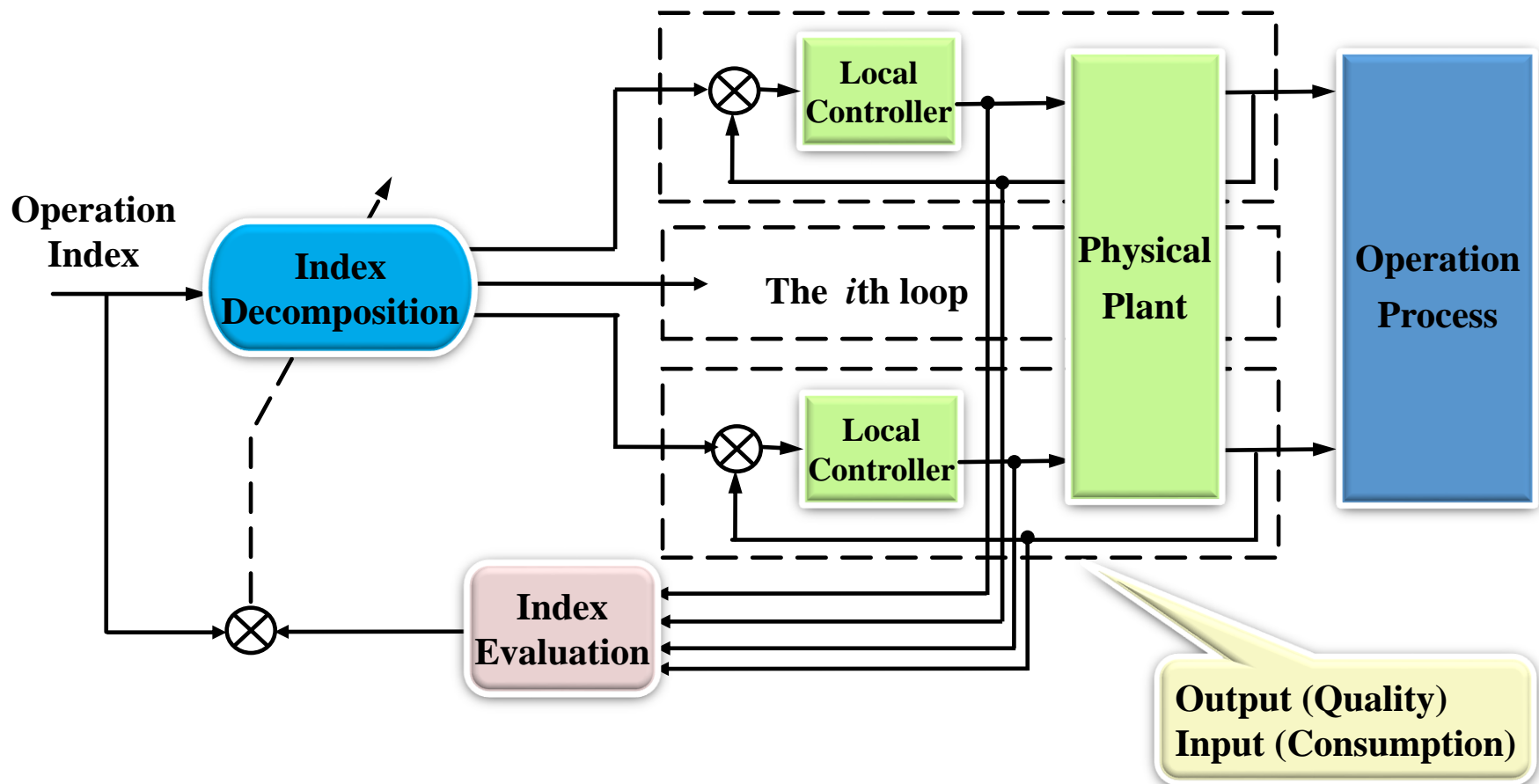
Stage I

## Traditional Artificial Decomposition of Performance Index (Open-loop Control)



Stage II

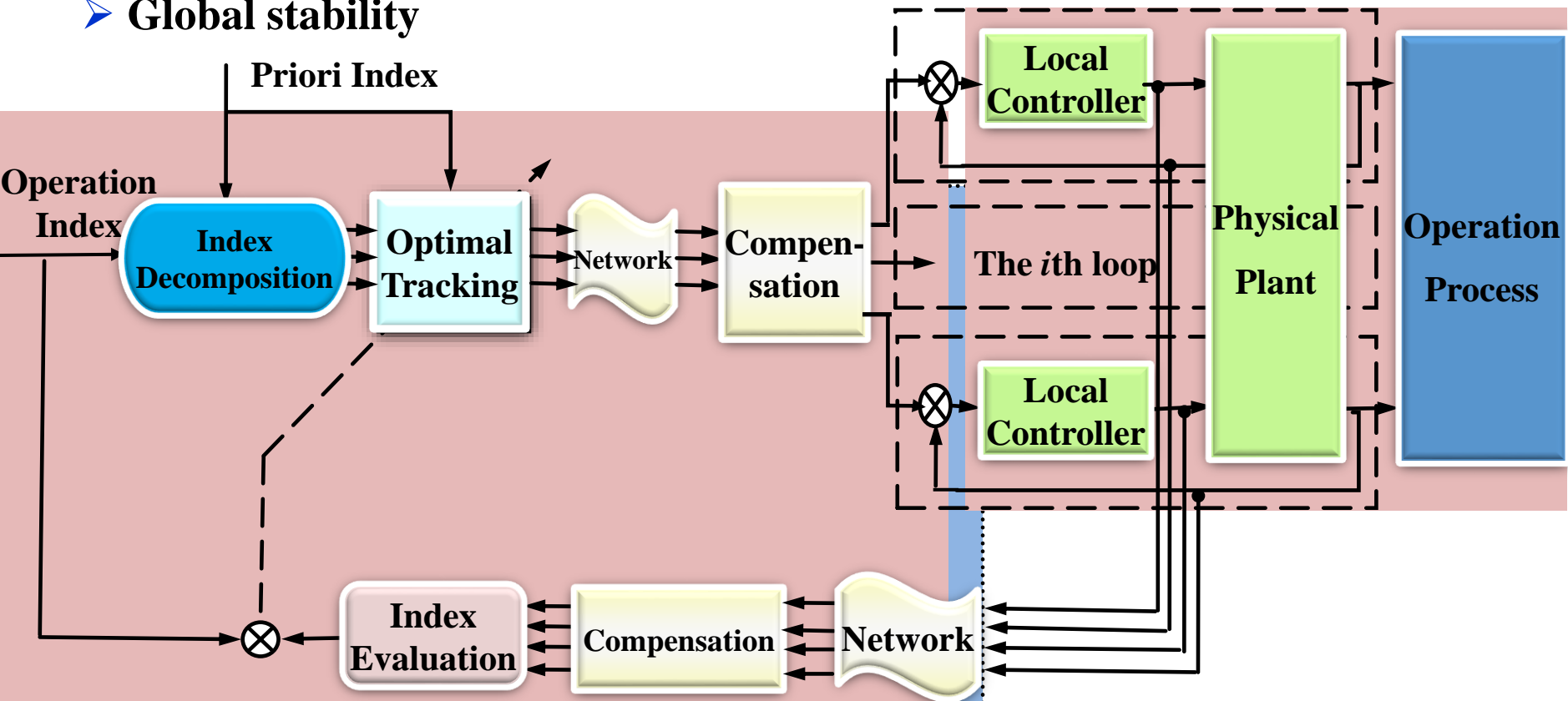
## Integrated Operation Control



## Stage III

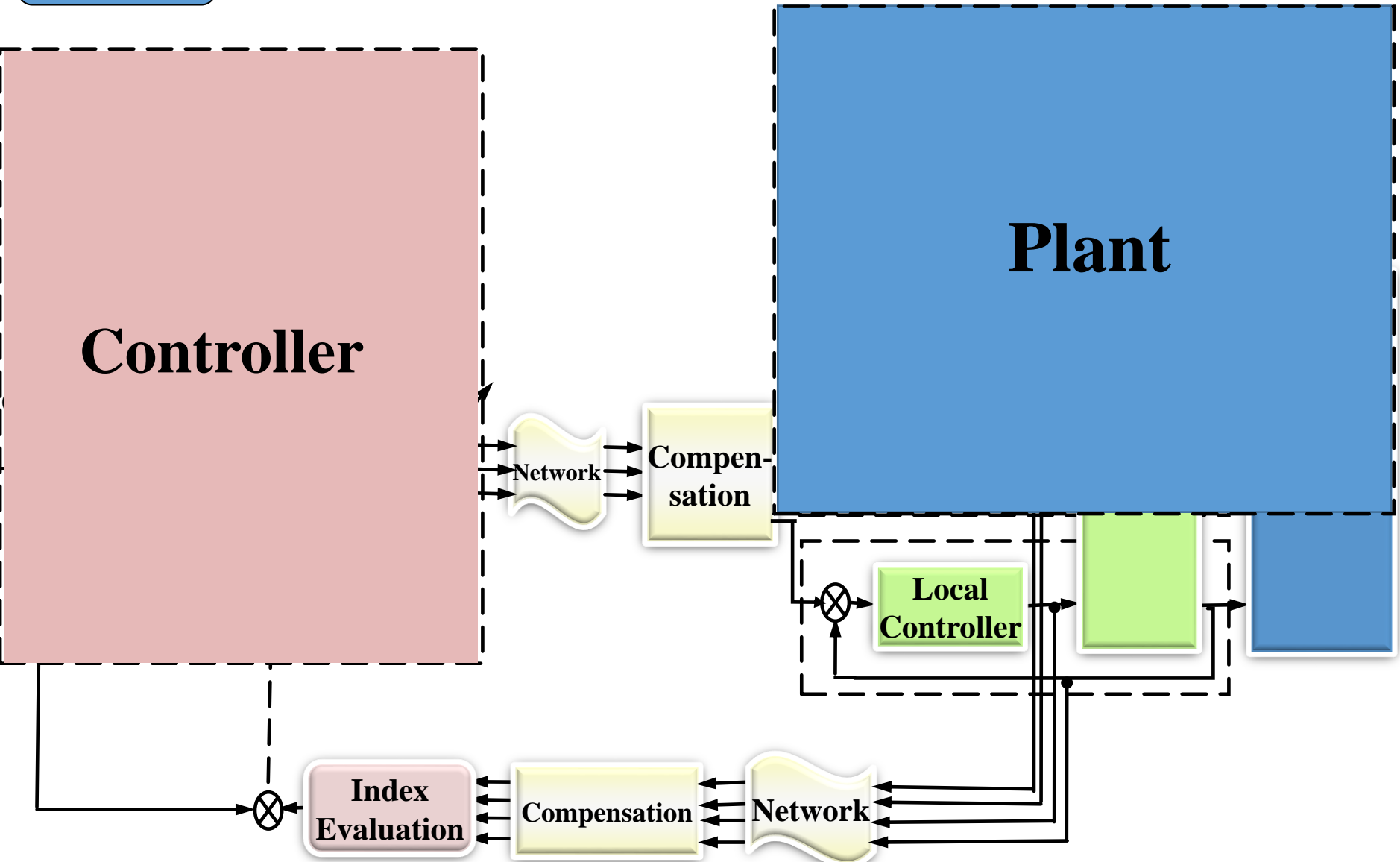
# Network-Based Integrated Operation Control

- Asynchronous networks
- Multirate sampling
- Data packet dropouts, time-delay & disorder
- Index evaluation
- Global stability



Stage III

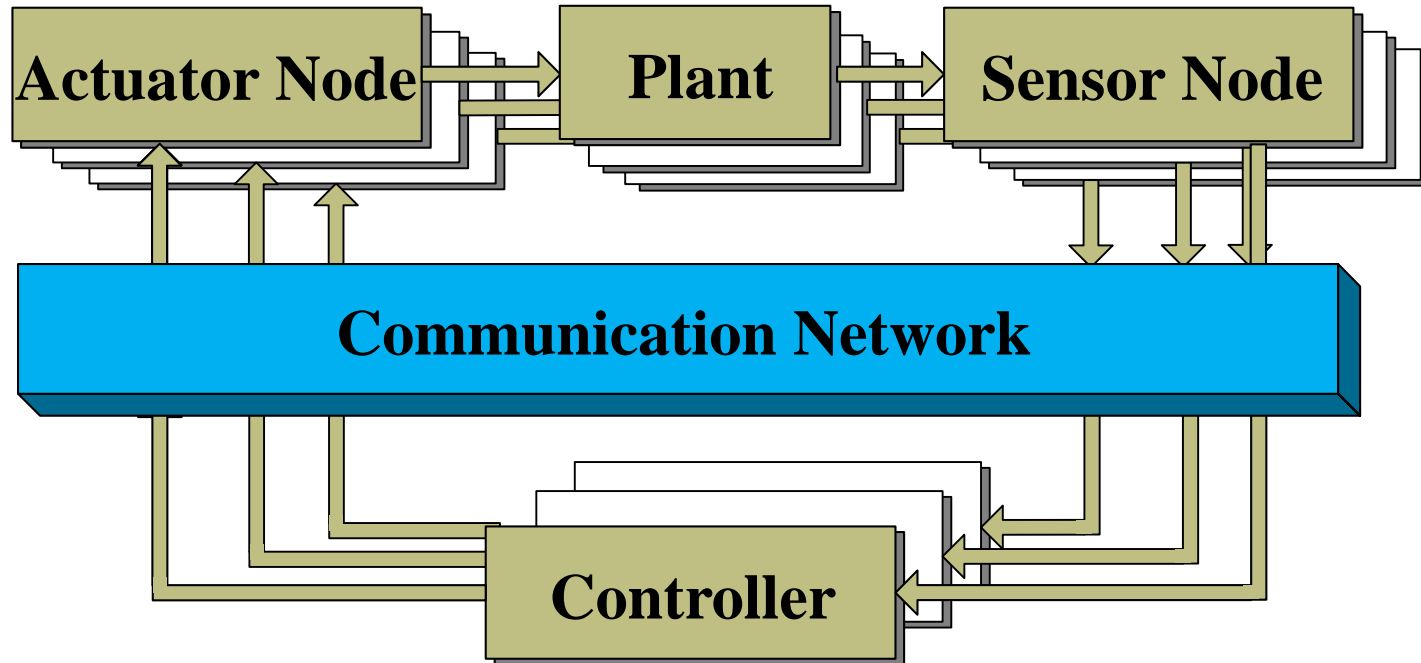
## Network-Based Integrated Operation Control



# Outline

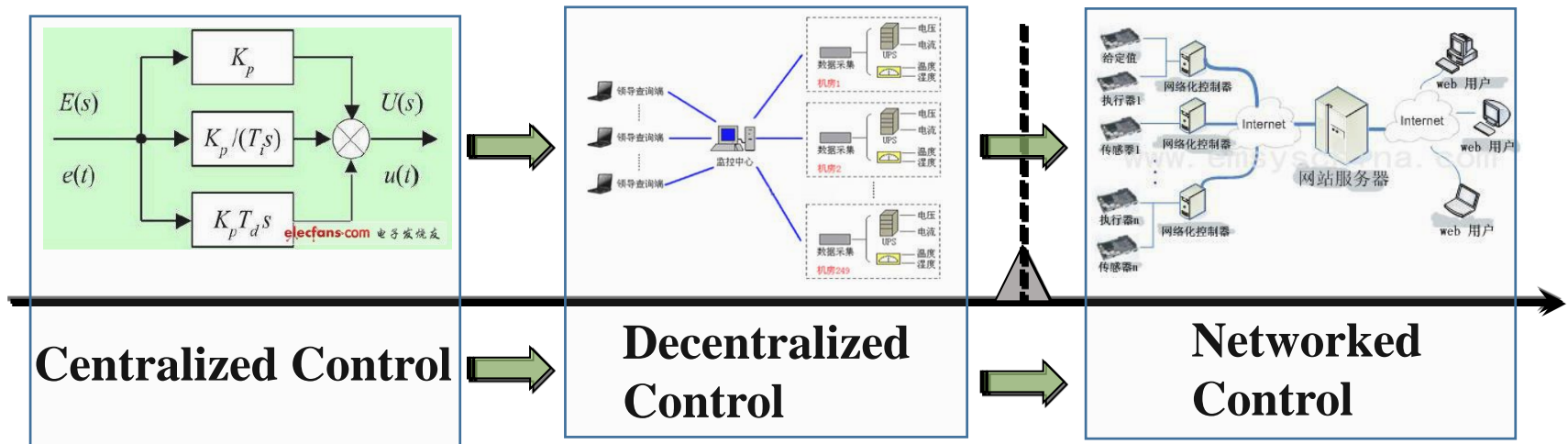
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- **Networked Control and Estimation**
- **Networked Process Control**
- **Future Work**

## Networked Control Systems (NCSs)



<b>NCS</b> <b>Advantages</b>	Resource sharing	Lower cost	Flexibility
	Independent node	Module design	... ..

## Control methods progress:



**Networked control is the significant revolution in industry processes after centralized control and decentralized control methods.**

**J. Baillieul, P. Antsaklis. *Proceedings of the IEEE*, 2007.**



## NCS applications:

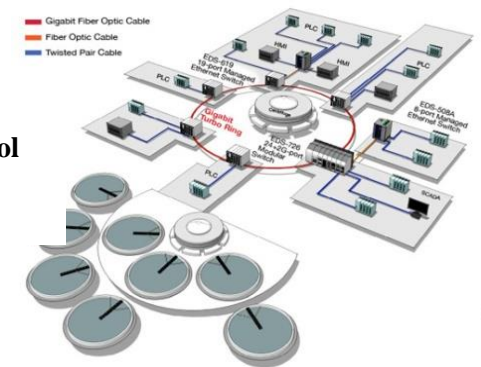
### ➤ Automobile

Typically electronic control units are networked together on one or more buses based on the Controller Area Network (CAN) standard.



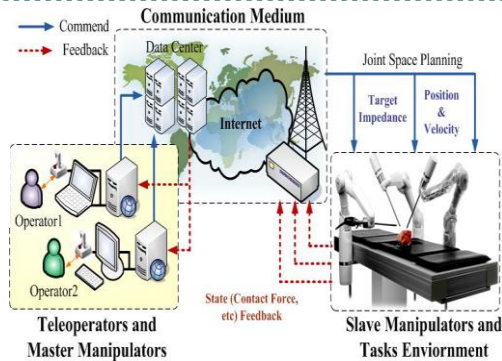
### ➤ Process Control

Industrial process control under networked environment



### ➤ Remote Operation

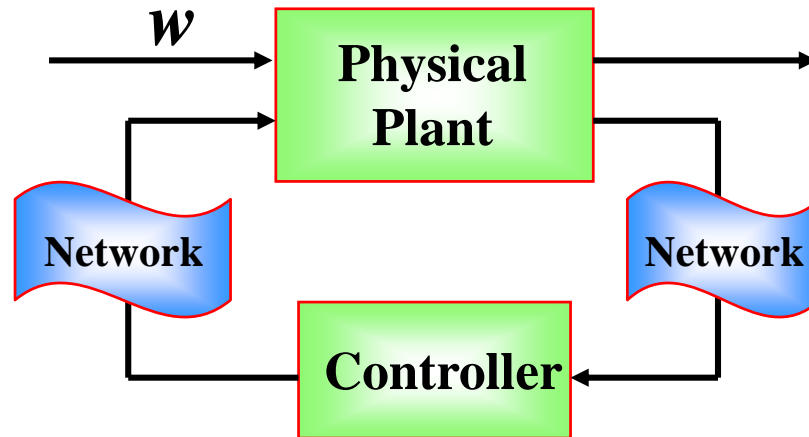
Remote operation based on network



### ➤ Cooperative Formation

Cooperative formation for multi-agent systems based on network





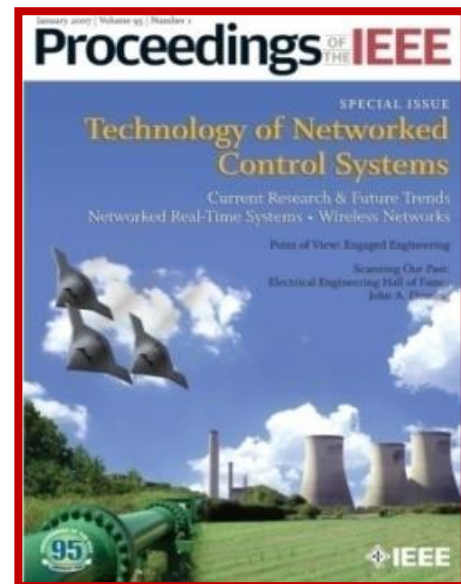
- ① Time Delay
- ② Packet Disorder
- ③ Packet Dropouts
- ④ Quantization
- ⑤ Network Security
- ⑥ Non-uniform Sampling
- ... ..

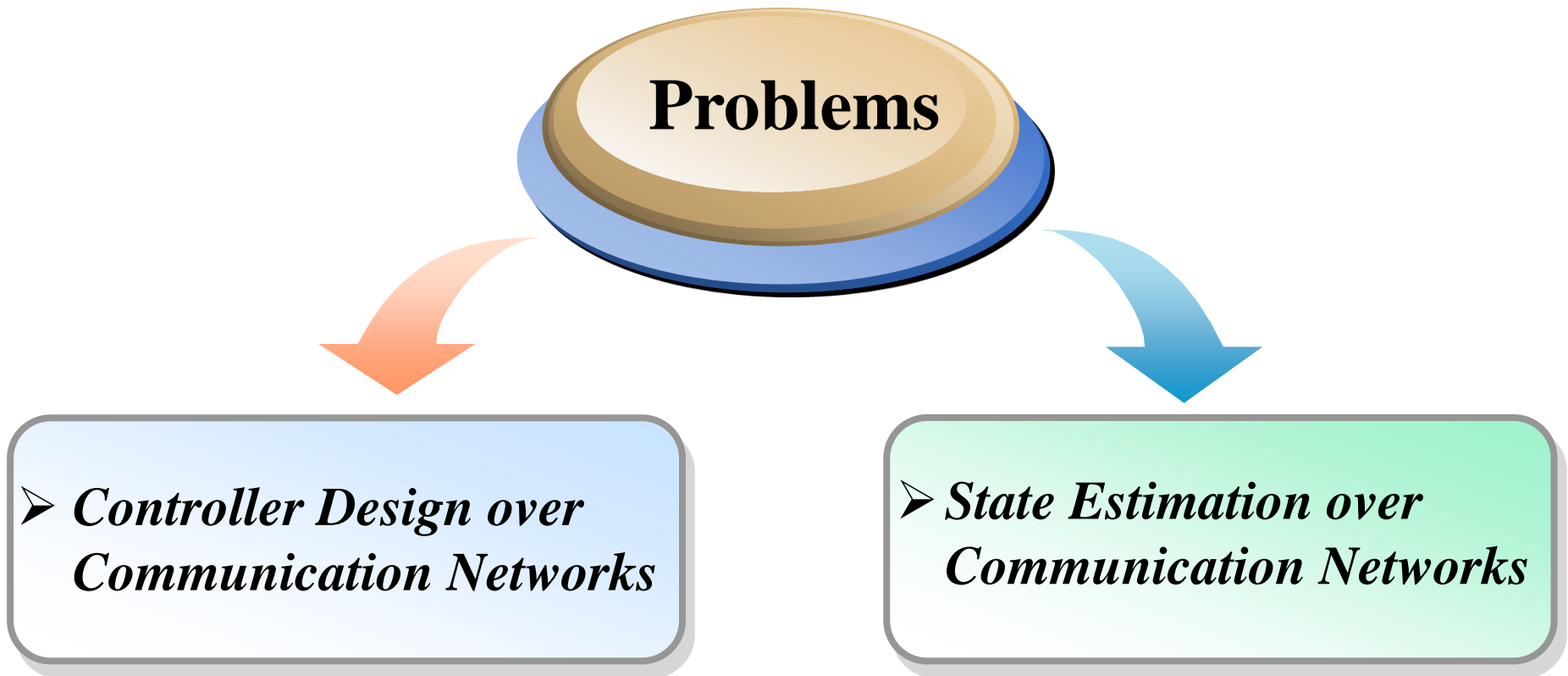
Nonlinear Physical Plants

Challenges to  
Classical  
Control Theory

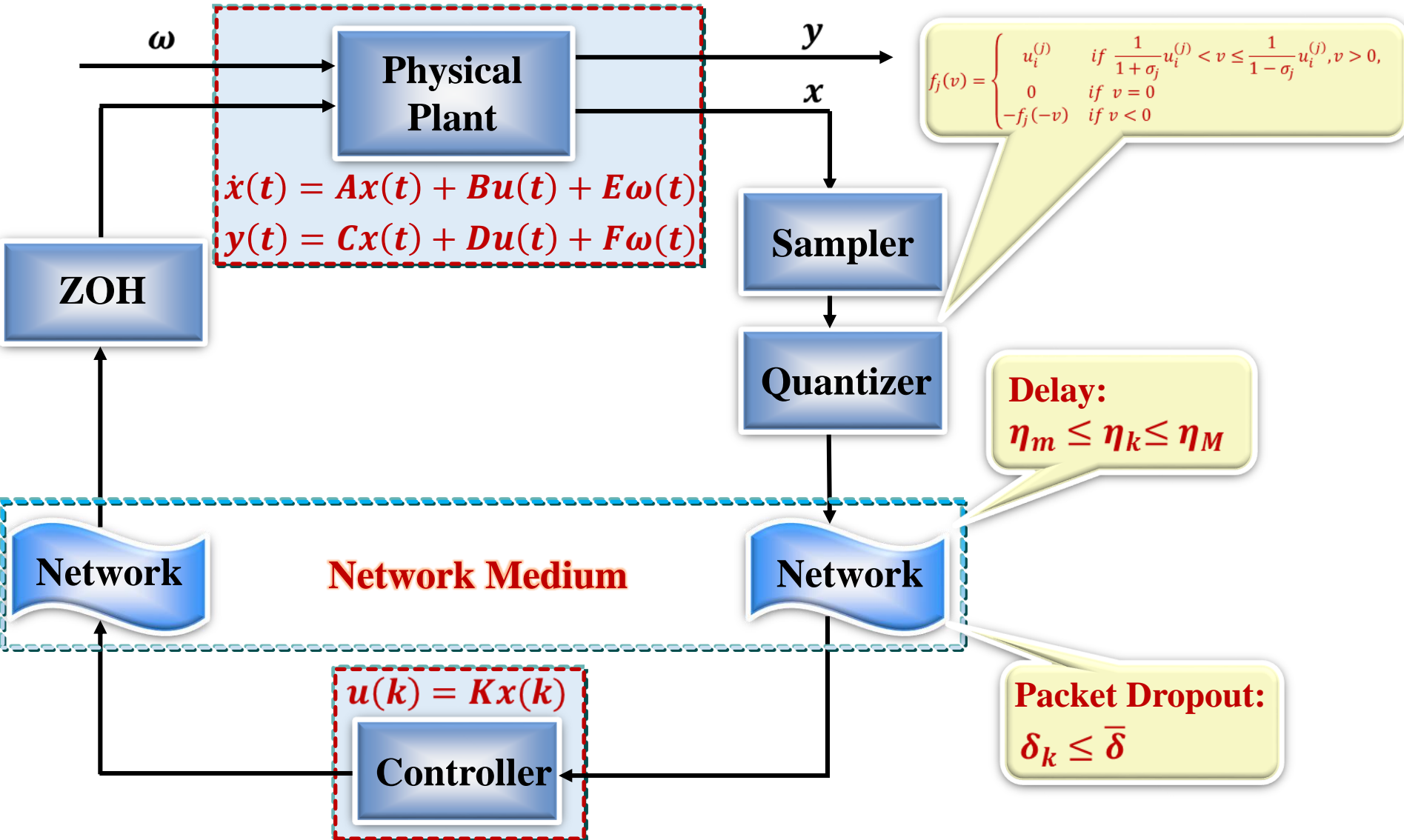
## Recent Special Issues:

- Proceedings of the IEEE
- IEEE Trans. System, Man, Cybernetics: Systems
- IEEE Trans. Automatic Control
- IEEE Trans. Industrial Electronics
- IEEE Control Systems Magazine
- .....





## State Feedback Control Problem:



## Networked closed-loop system:

$$\begin{aligned}\dot{x}(t) &= Ax(t) + BKf(x(t_k - \eta_k)) + E\omega(t) \\ y(t) &= Cx(t) + DKf(x(t_k - \eta_k)) + F\omega(t)\end{aligned}$$

## Closed-loop system with two successive delays:

$$\begin{aligned}\dot{x}(t) &= Ax(t) + BKf(x(t - \eta_m - \eta(t))) + E\omega(t) \\ y(t) &= Cx(t) + DKf(x(t - \eta_m - \eta(t))) + F\omega(t)\end{aligned}$$

## Transformation

$$\begin{aligned}t_k - \eta_k &= t - \eta_k - \eta(t) \\ \eta(t) &= t - t_k + \eta_k - \eta_m\end{aligned}$$

## General model $\dot{x}(t) = Ax(t) + A_d x(t - d_1(t) - d_2(t))$

PERFORMANCE FOR DIFFERENT DELAY BOUNDS

Prescribed $H_\infty$ performance $\gamma$	0.2			0.3		
	$\bar{a}$	$\bar{a}$	$\bar{a}$	$\bar{a}$	$\bar{a}$	$\bar{a}$
Minimum $\beta$ by Theorem 1	0.0346	0.0350	0.0392	0.0021	0.0028	0.0041
Minimum $\beta$ by [17]	0.0705	infeasible	infeasible	0.0244	infeasible	infeasible

less conservative

CALCULATED DELAY BOUNDS FOR DIFFERENT METHODS

	Delay bound $\bar{a}_2$ for given $\bar{a}_1$			Delay bound $\bar{a}_1$ for given $\bar{a}_2$		
	$\bar{d}_1 = 1$	$\bar{d}_1 = 1.2$	$\bar{d}_1 = 1.5$	$\bar{d}_2 = 0.1$	$\bar{d}_2 = 0.2$	$\bar{d}_2 = 0.3$
	Theorem 1	0.512	0.406	0.283	2.300	1.779
Lam et al. (2007)	0.415	0.376	0.248	2.263	1.696	1.324
Wu et al. (2004), Jing et al. (2004), Fridman and Shaked (2003)	0.180	0.080	Infeasible	1.080	0.980	0.880
Lee et al. (2001)	Infeasible	Infeasible	Infeasible	0.098	Infeasible	Infeasible
Kim (2001)	Infeasible	Infeasible	Infeasible	0.074	Infeasible	Infeasible

less conservative

## Lyapunov-Krasovskii Functional

$$V(t) = V_1(t) + V_2(t) + V_3(t) + V_4(t),$$

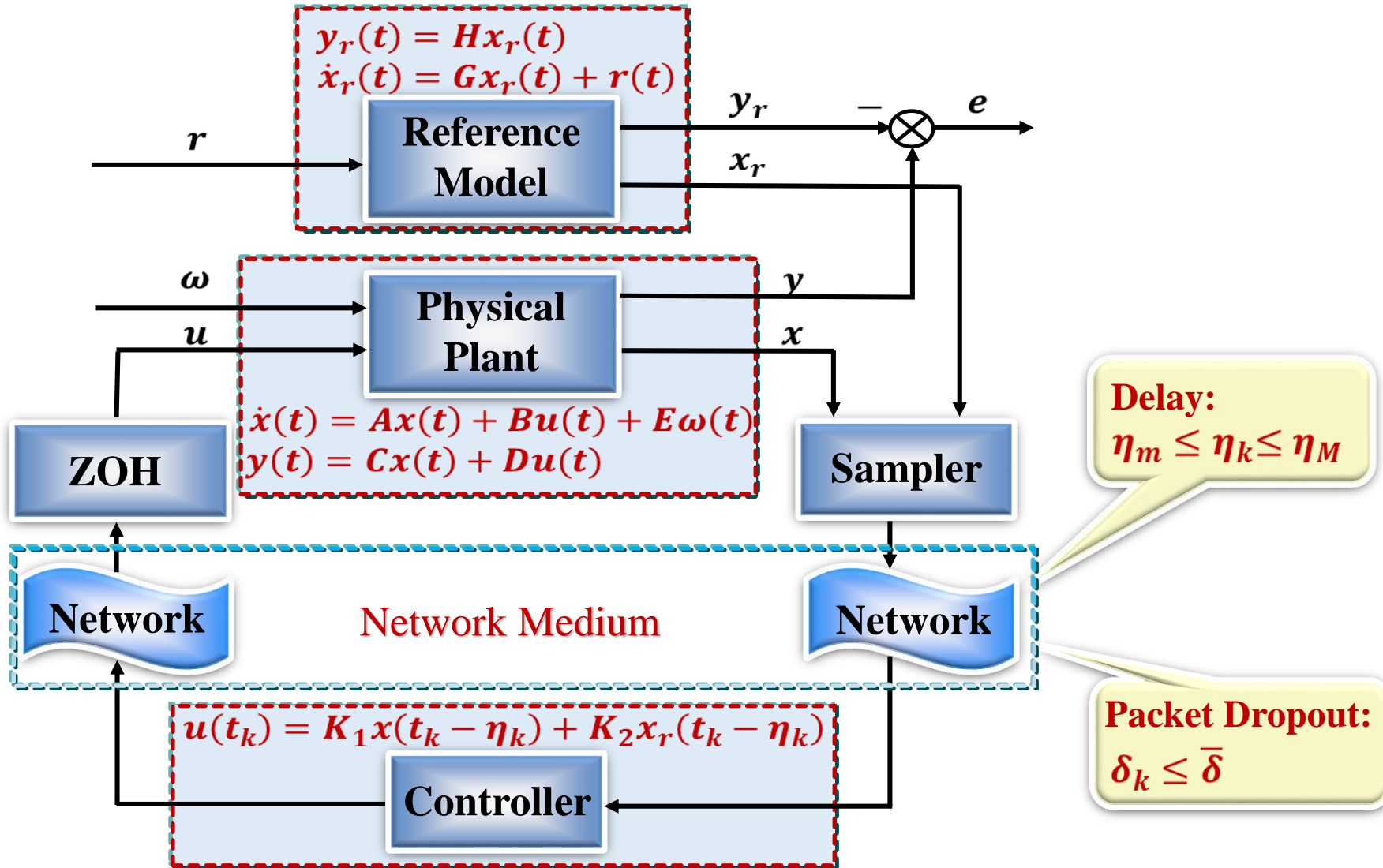
$$V_1(t) = x^T(t)Px(t),$$

$$V_2(t) = \int_{t-d_1(t)}^t x^T(s)Q_1x(s)ds + \int_{t-\bar{d}}^{t-d_1(t)} x^T(s)Q_2x(s)ds,$$

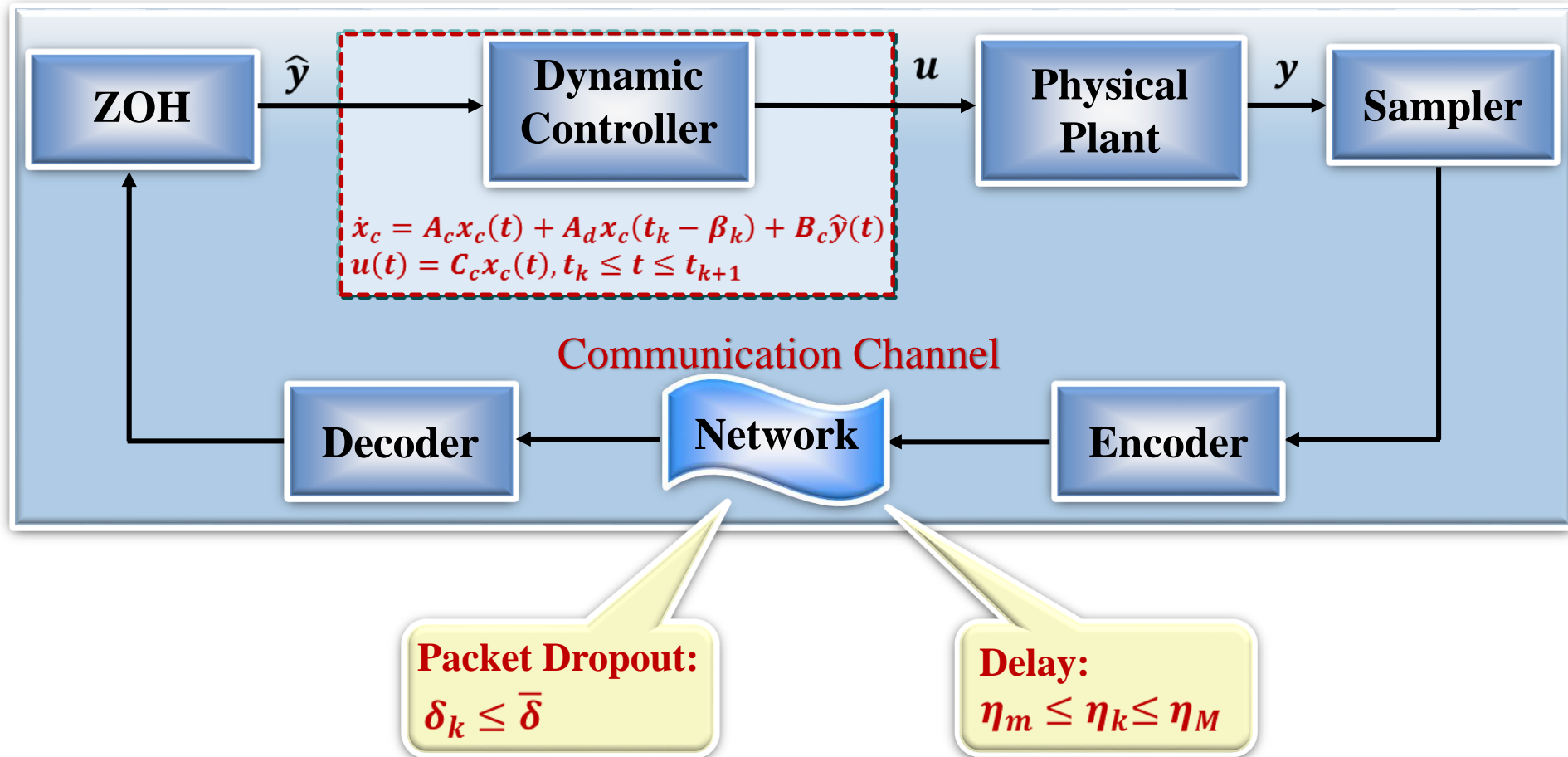
$$V_3(t) = \int_{t-\bar{d}}^t x^T(s)Rx(s)ds,$$

$$\begin{aligned}V_4(t) &= \int_{-\bar{d}_1}^0 \int_{\beta}^0 \dot{x}^T(t+\alpha)Z_1\dot{x}(t+\alpha)d\alpha d\beta \\ &+ \int_{-\bar{d}}^{-\bar{d}_1} \int_{\beta}^0 \dot{x}^T(t+\alpha)Z_2\dot{x}(t+\alpha)d\alpha d\beta \\ &+ \int_{-\bar{d}}^0 \int_{\beta}^0 \dot{x}^T(t+\alpha)M\dot{x}(t+\alpha)d\alpha d\beta,\end{aligned}$$

## Output Tracking Problem:

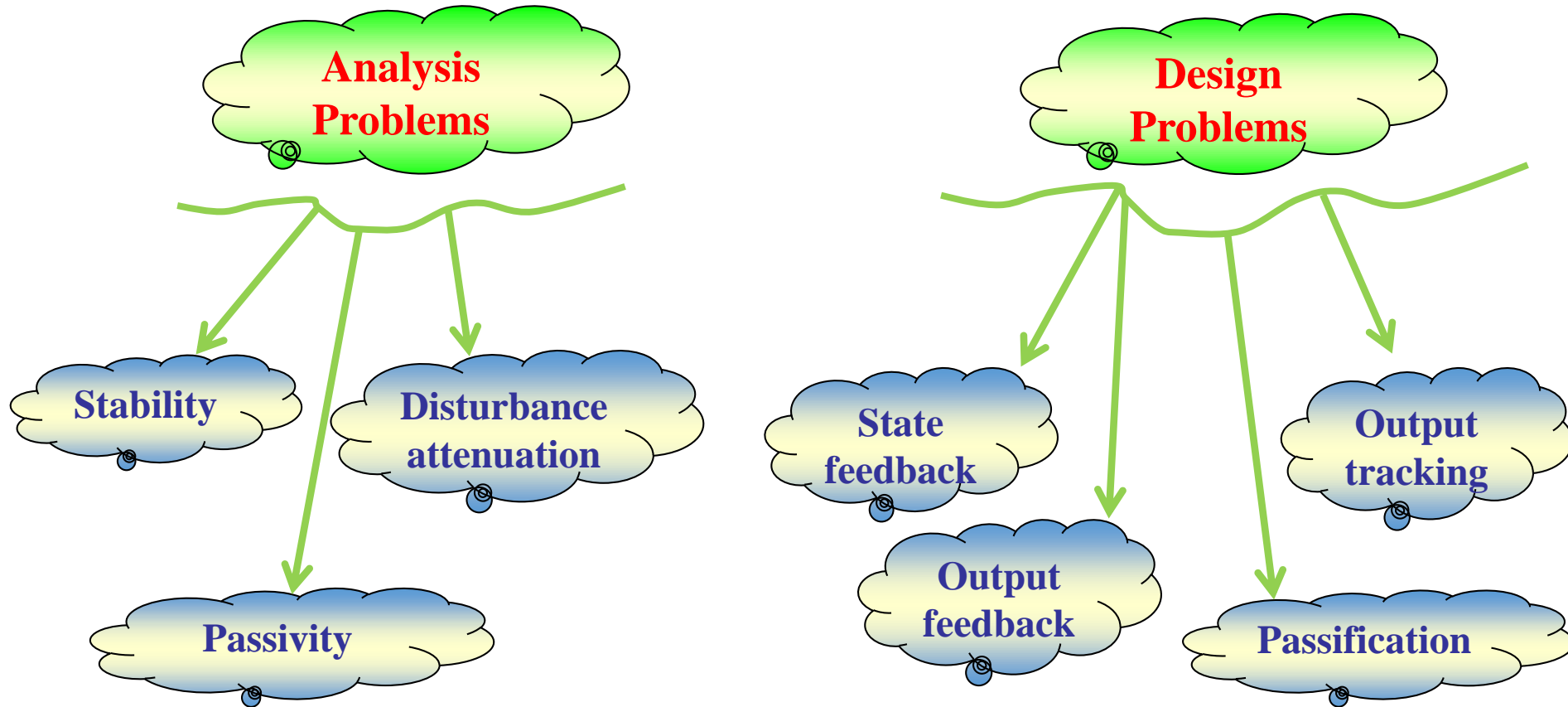


## Output Feedback Control Problem:

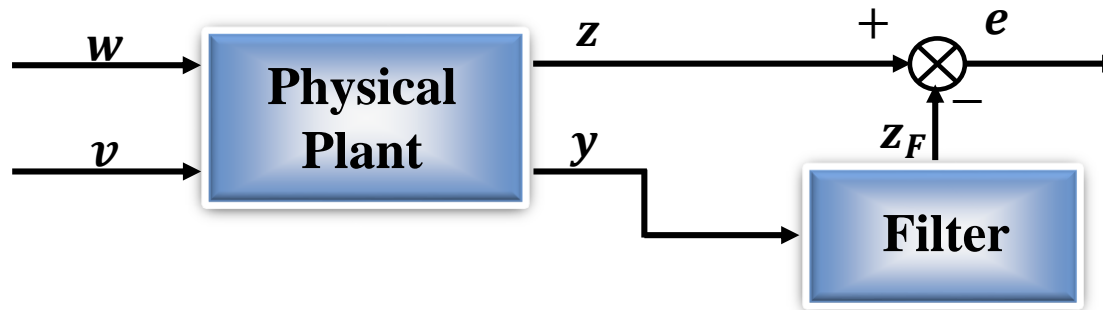




## Problems solved in this framework:



## Traditional filter design



## Filtering design problems:

### Kalman Filtering

- Most commonly used optimal recursive data processing algorithm

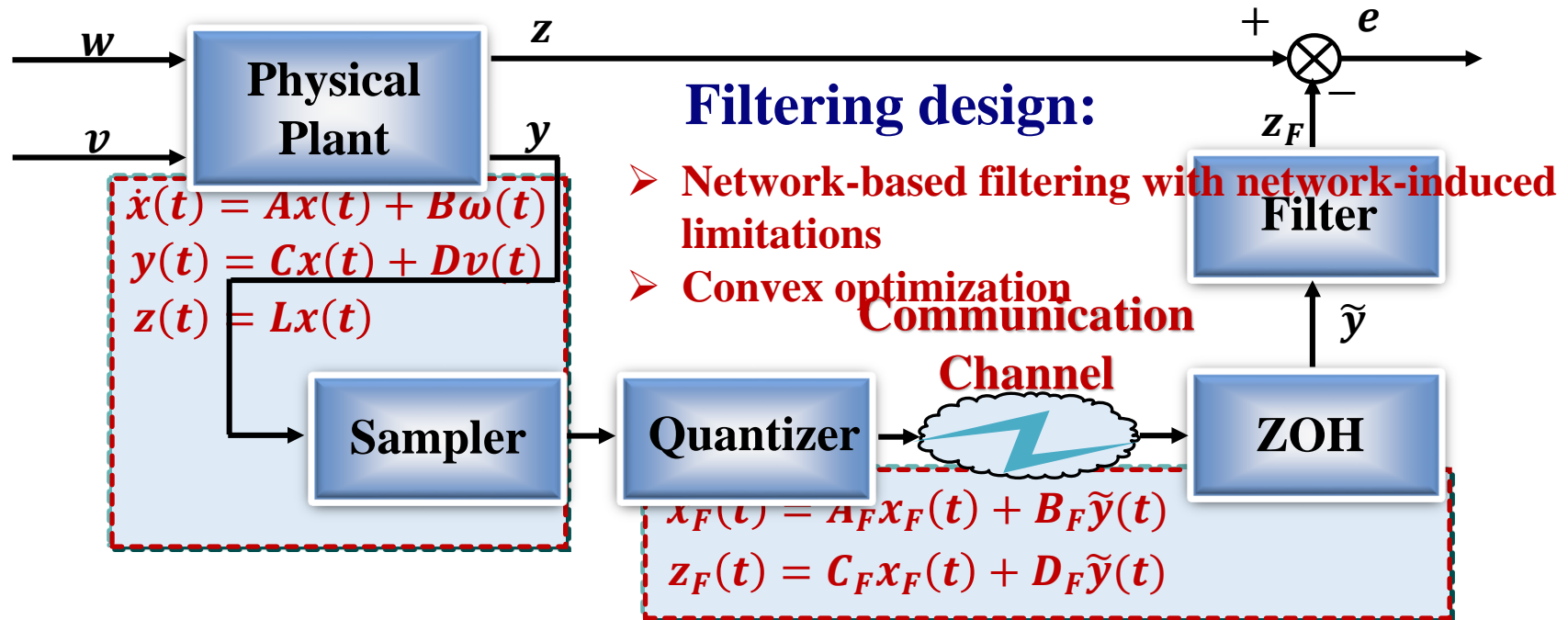
### Robust Filtering

- Without knowing the exact statistics knowledge of noise/disturbance
- Parameter uncertainty

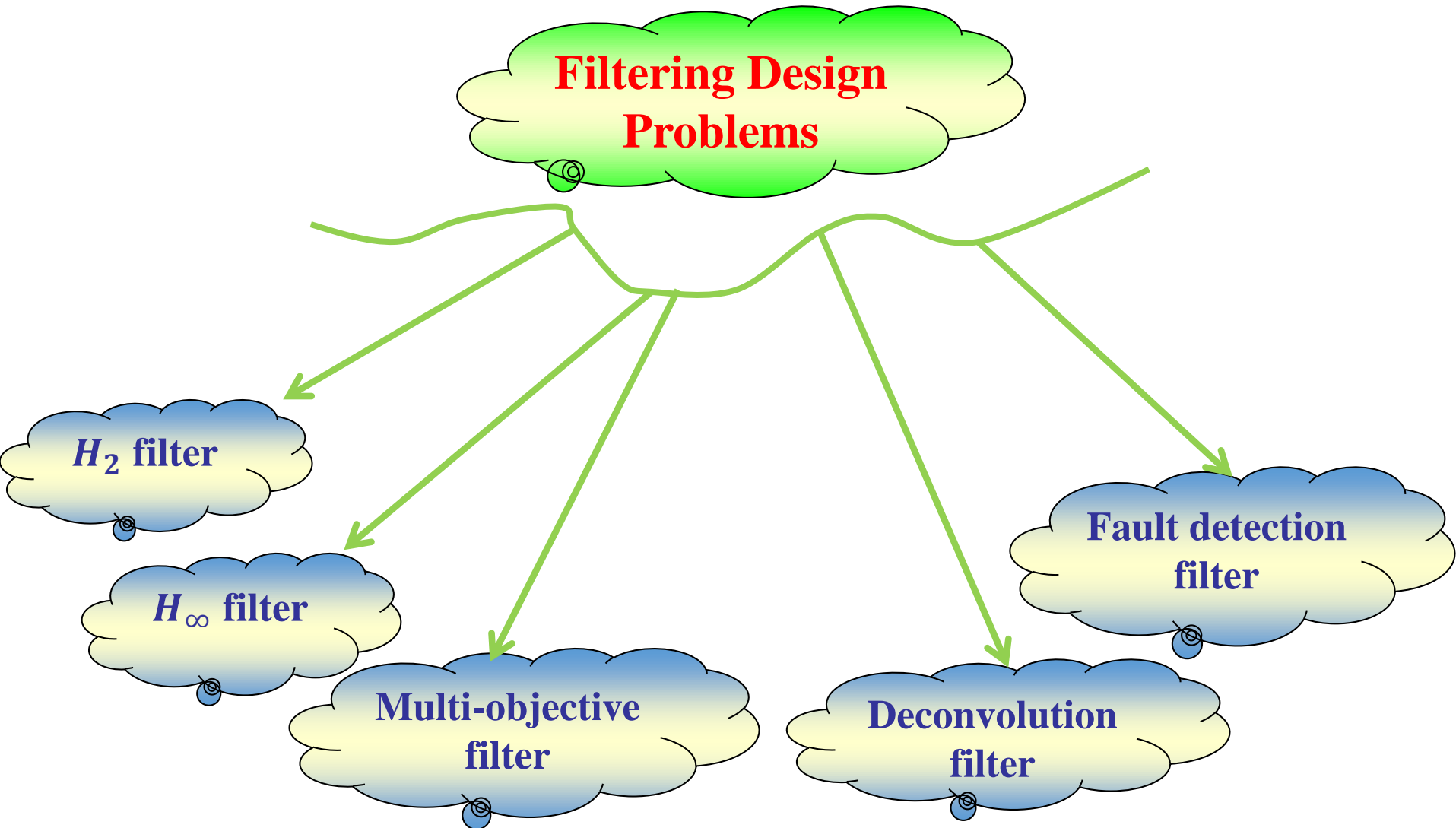
### Adaptive Filtering

- Suitable for parameter/ structure adjustable filter design problem

## Network-based filter design



## Problems solved in this framework:



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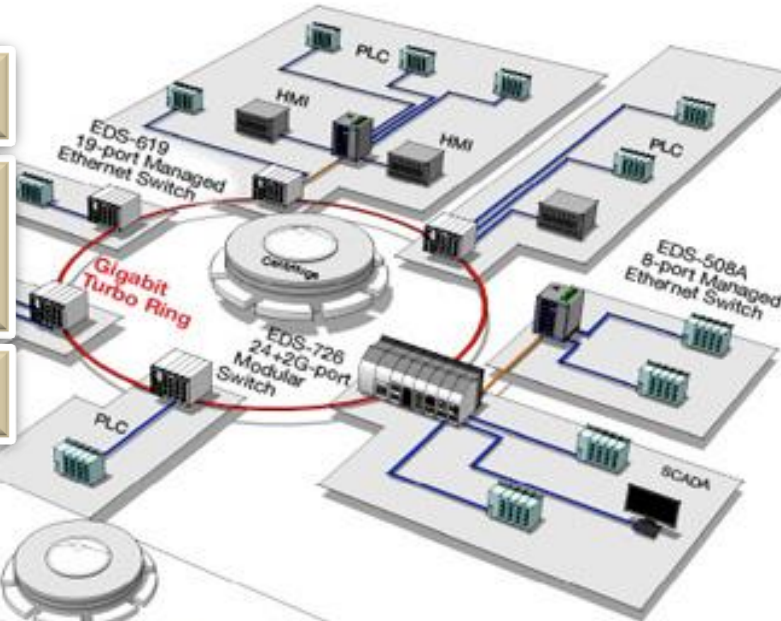
## Networked Industrial Processes

**Distributed control**

**Long-distance  
data transmission**

**Real time monitoring**

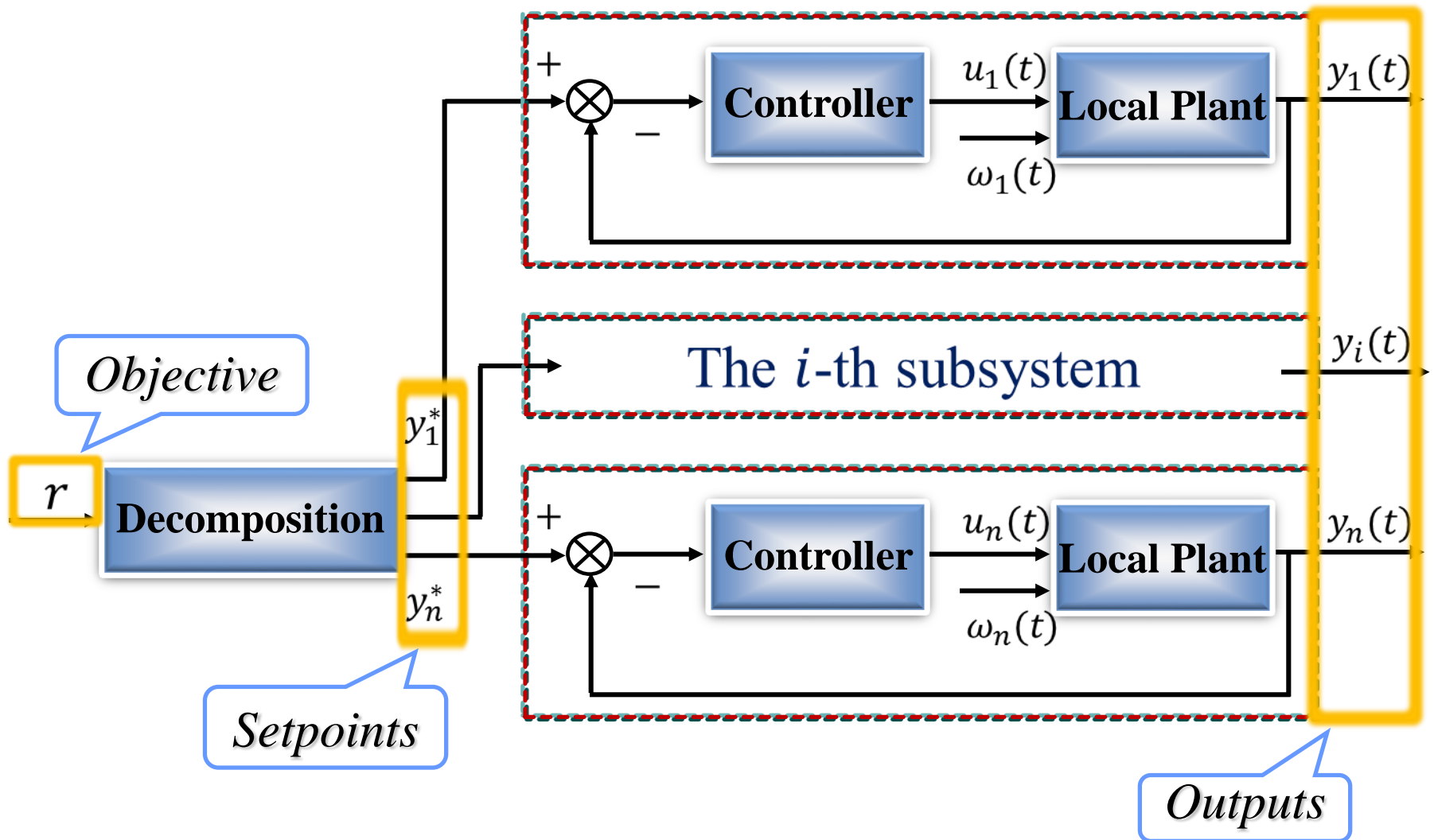
Gigabit Fiber Optic Cable



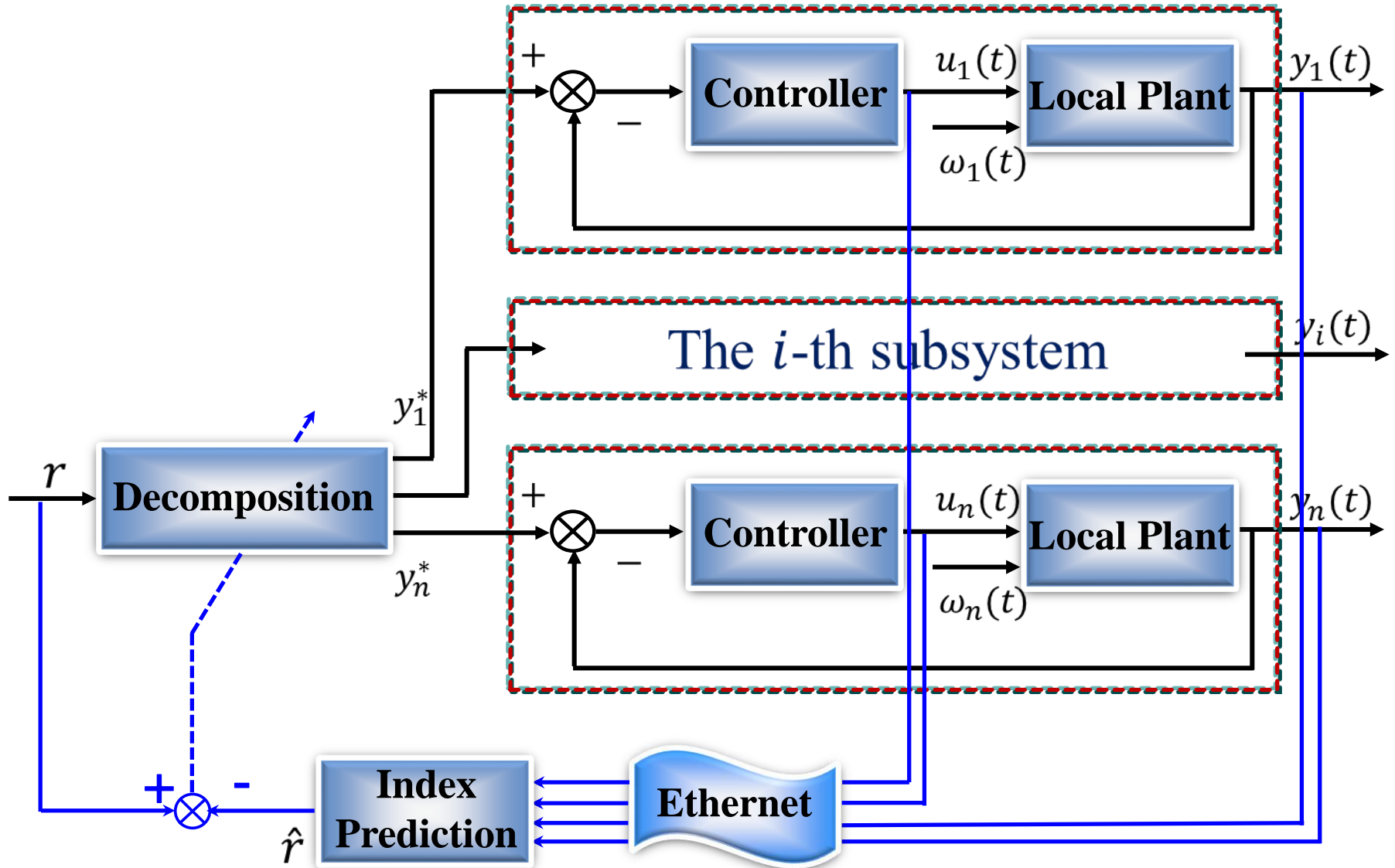
**Communication  
via Industrial  
Ethernet**

**Time-varying delays; Packet dropouts;  
Data quantization; Multirate sampling;  
Network safety; Asynchronous  
communication etc.**

## Conventional Control Scheme

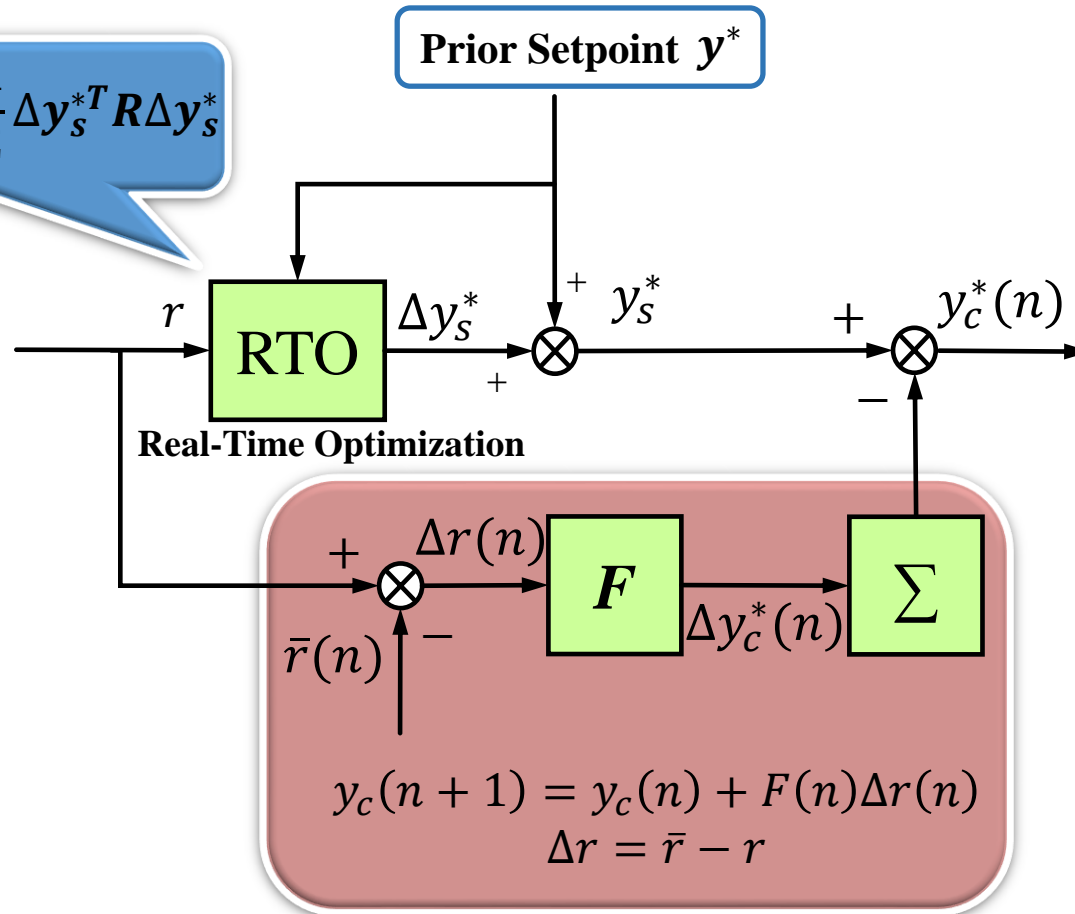


## Network-Based Integrated Control Scheme

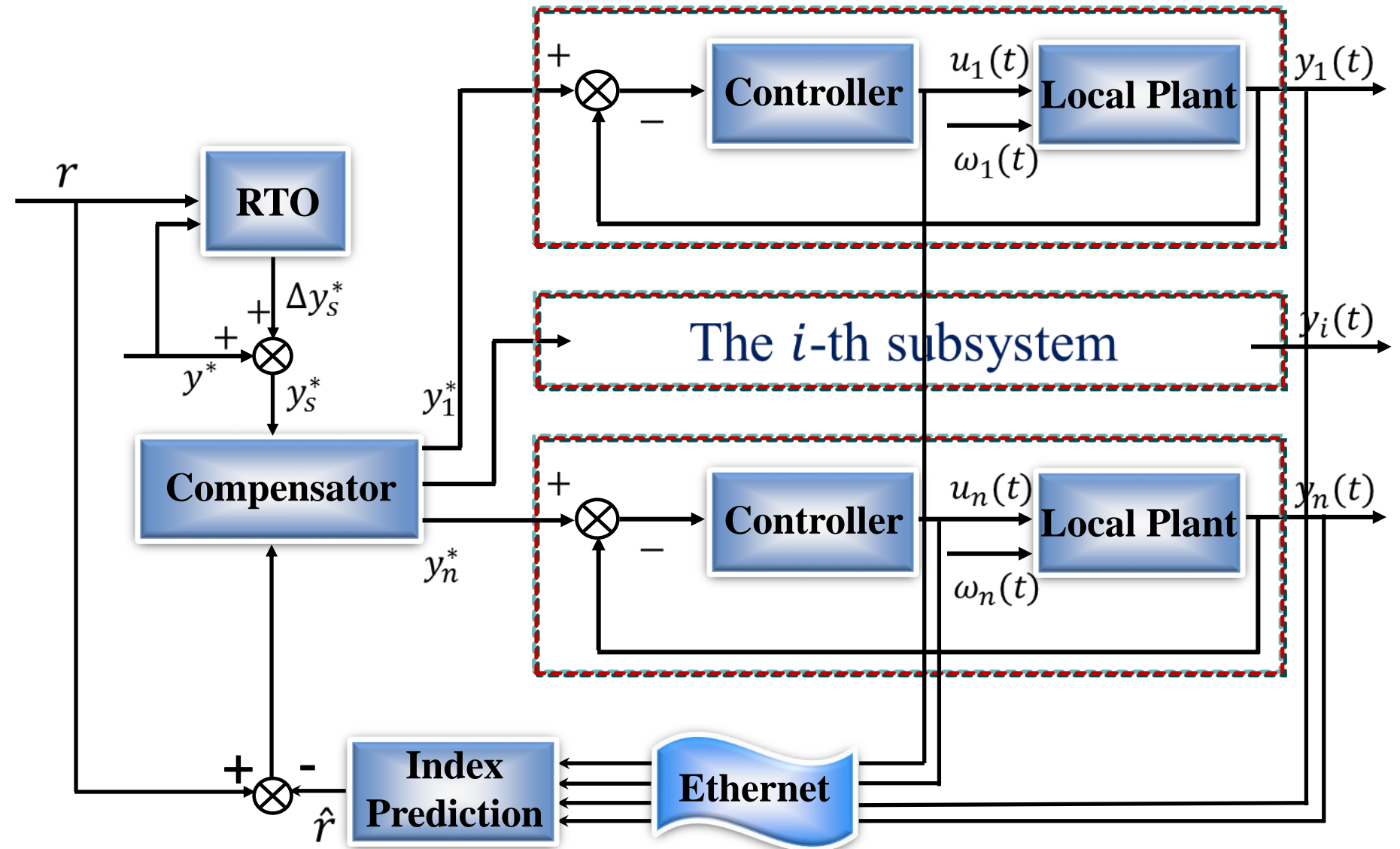




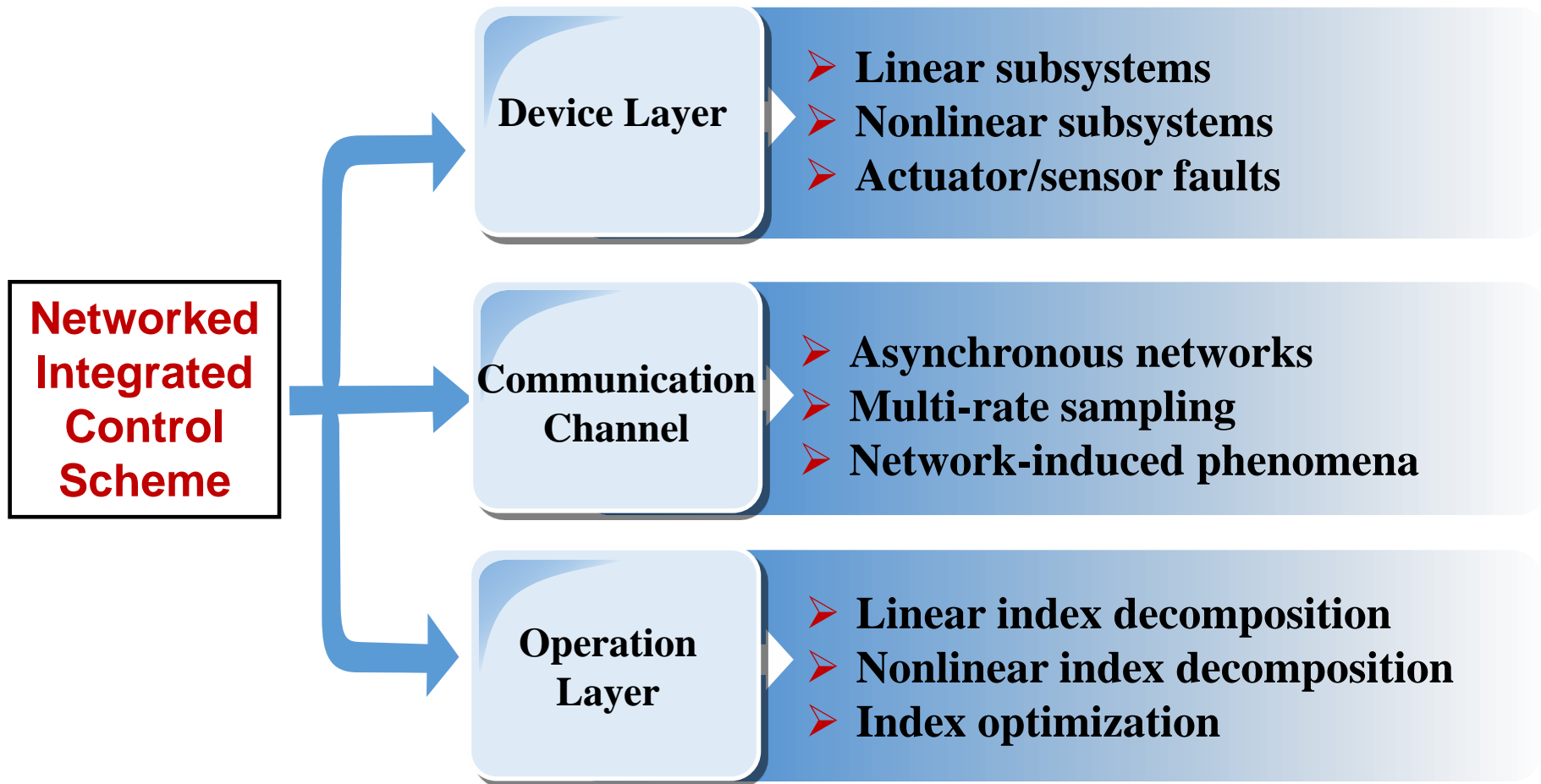
$$(\text{OPT}) \min J = \frac{1}{2} \Delta y_s^{*T} R \Delta y_s^*$$



## Multi-subsystems Case

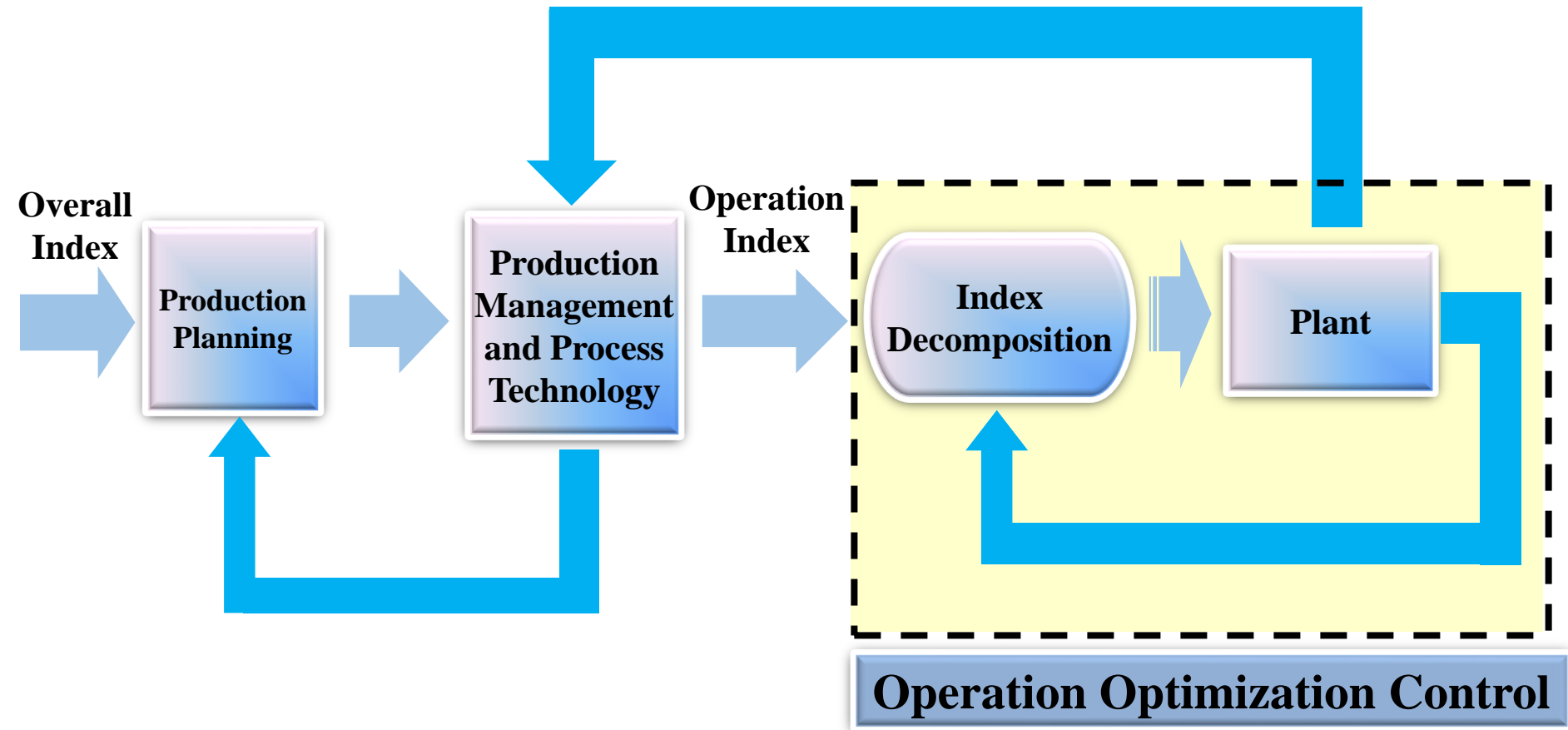


## Key Issues



# Outline

- **Background**
- **Networked Control and Estimation**
- **Networked Process Control**
- **Future Work**



- Multiple network issues
- Network security for industrial control systems
- Three layers: management, operation and device layers

# THANKS

