<u>An EMI Reduction Methodology Based on</u> <u>Nonlinear Dynamics</u>

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Acknowledment

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<u>Outline</u>

• EMI due to timing signals and "signal-processing" based reduction methods

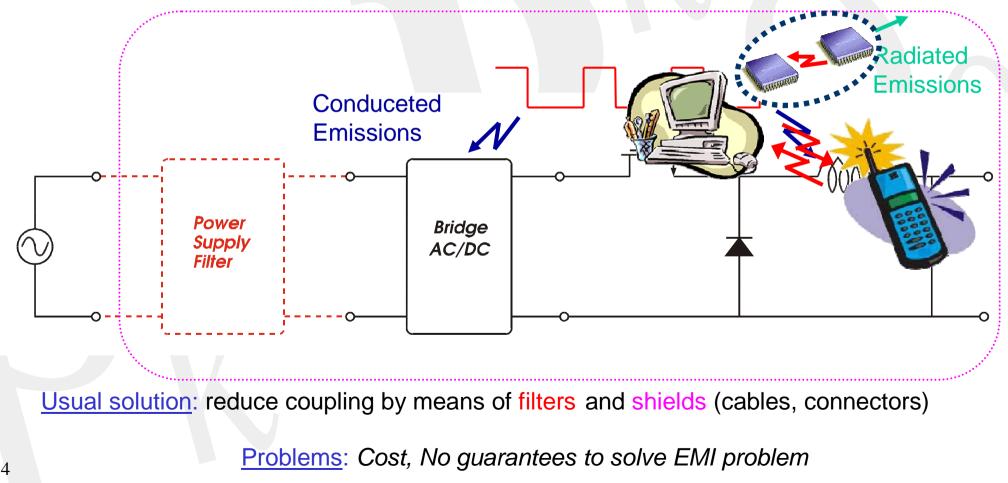
Clock signals

- Sinusoidal FM
- Cubic (patented) FM
- Chaos Based FM
 - Analytical results (statistical approach)
- Numerical and experimental results
- PWM signals
 - Boost DC/DC converter prototype
- Fast FM and implementation of a low EMI clock generator
 - FM modulator based on a PLL
 - High Throughput RNG based on Chaotic Maps
 - > SATA and SATA-II
- Conclusion

EMI due to timing signals

EMI Problem: coupling between source and victim

- Source: radio transmitter, power lines, electronic circuits,...
- Victim: radio receiver, electronic circuits and devices,...
- Coupling methods: conducted, inductively/capacitively coupled, radiated,...



An "a priori" solution

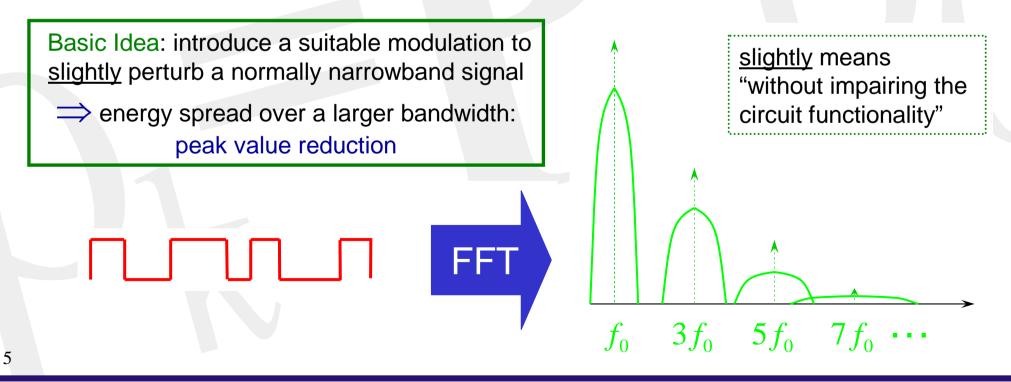
In several applications filters and shields cannot be employed:

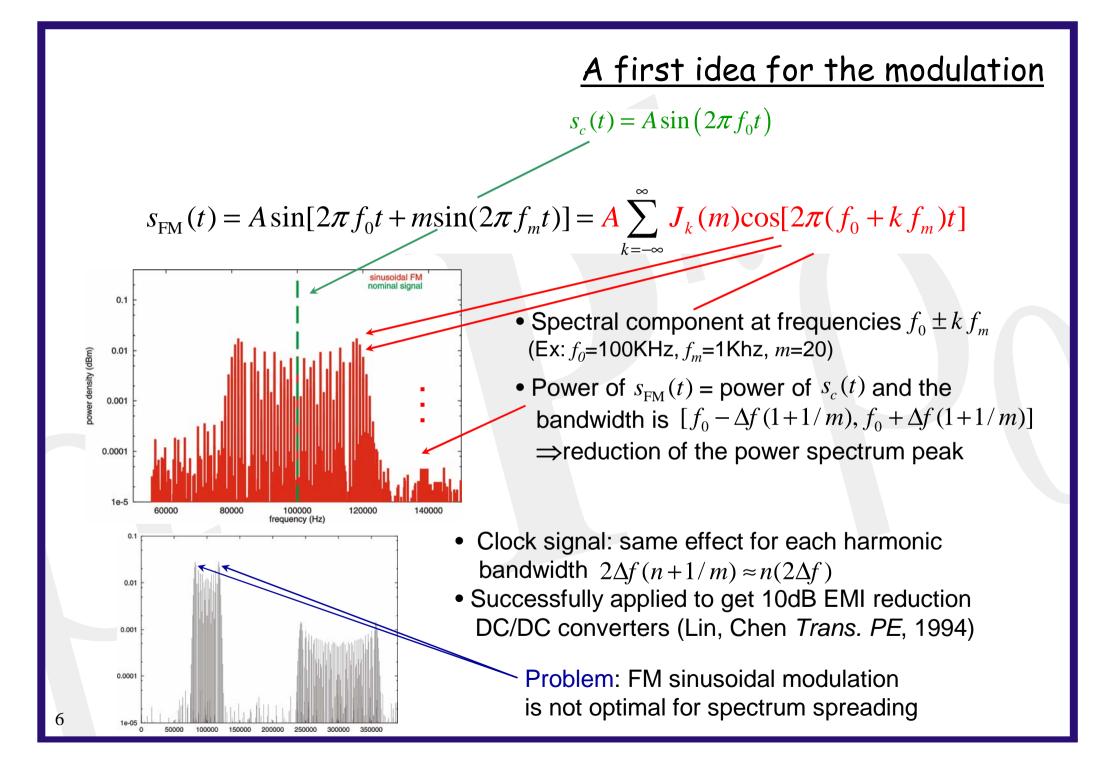
- μP and board clock signals
- Mixed-mode analog/digital ICs

An a-priori (design-time) solution (on the EMI source) is required

<u>REMARKS</u>

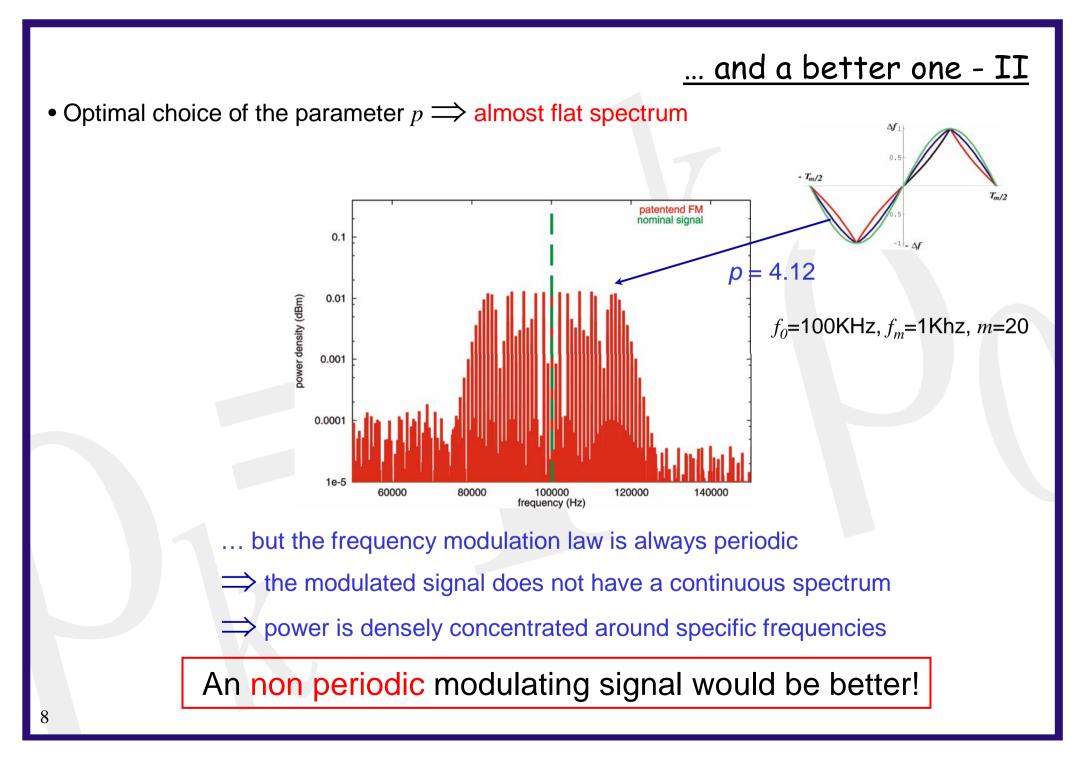
- Clock signals produce EMI at frequencies corresponding to harmonics
- Regulation standard set a limit on peak emissions (mask)

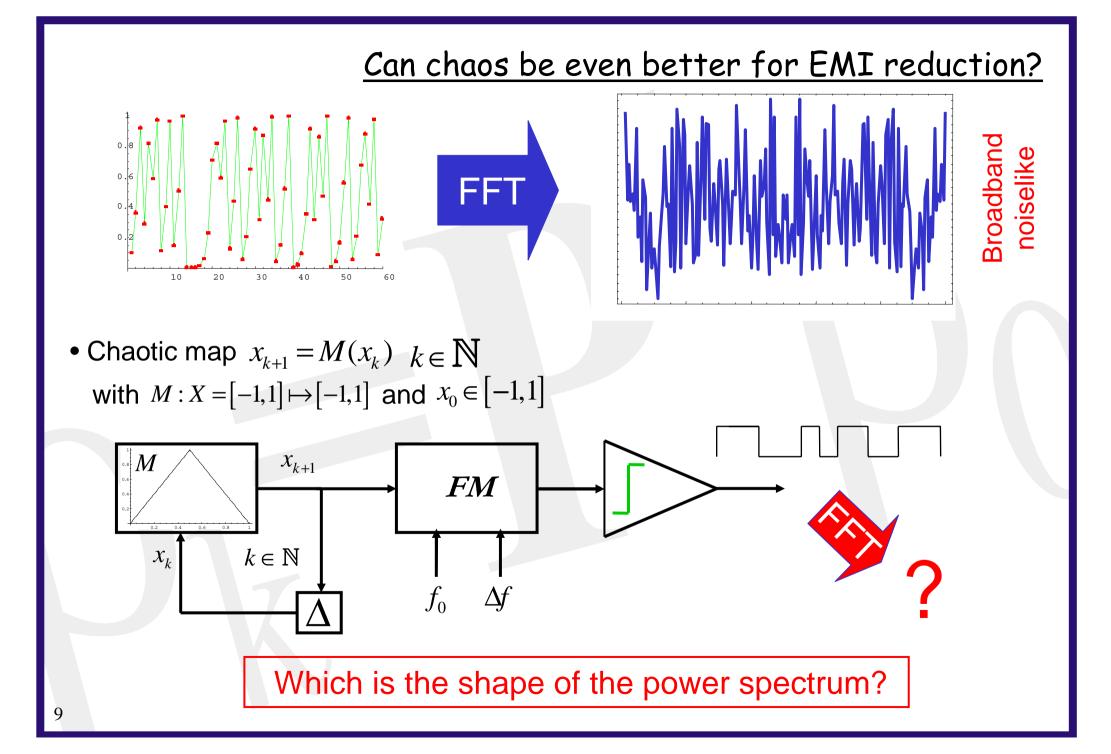




...and a better one - I

• $s_{FM}(t)$ instantaneous frequency $f(t) = f_0 + \Delta f \cos(2\pi f_m t)$ \Rightarrow for "quasi-stationary" modulation power concentrates where $ds_m(t)/dt$ is small 12 10 • Sin: peak in the spectrum if $s_m(t)$ min or max reduced amplitude corresponding 8 to zero crossing $s_m(t)$ (large derivative) Б 2 Δf_1 0.8 0.9 1.1 1.2 • Hardin et al (Lexmark; US patent # 5,488,627, 0.5 1996) proposes to use a parametric family of $-T_{m/2}$ cubic polynomials $T_m/2$ $c_{h}(x) = 16(4-p)x^{3} + px, x \in [-1/4, 1/4]$ to construct the modulating waveform Δf





Spectrum of perfectly random FM - I

• Modulating PAM signal $\xi_m(t) = \sum_{k=-\infty}^{\infty} x_k g(t-kT_m)$ where x_k are independent random variables drawn according to a density $\overline{\rho}$ g(t) is the unit rectangular pulse defined in $[0, T_m[$

 Consider a sinusoid (clock fundamental) that is frequency modulated by random PAM

$$c(t) = \cos \left[2\pi \left(f_0 t + \Delta f \int_{-\infty}^t \xi_m(\vartheta) d\vartheta \right) \right]$$

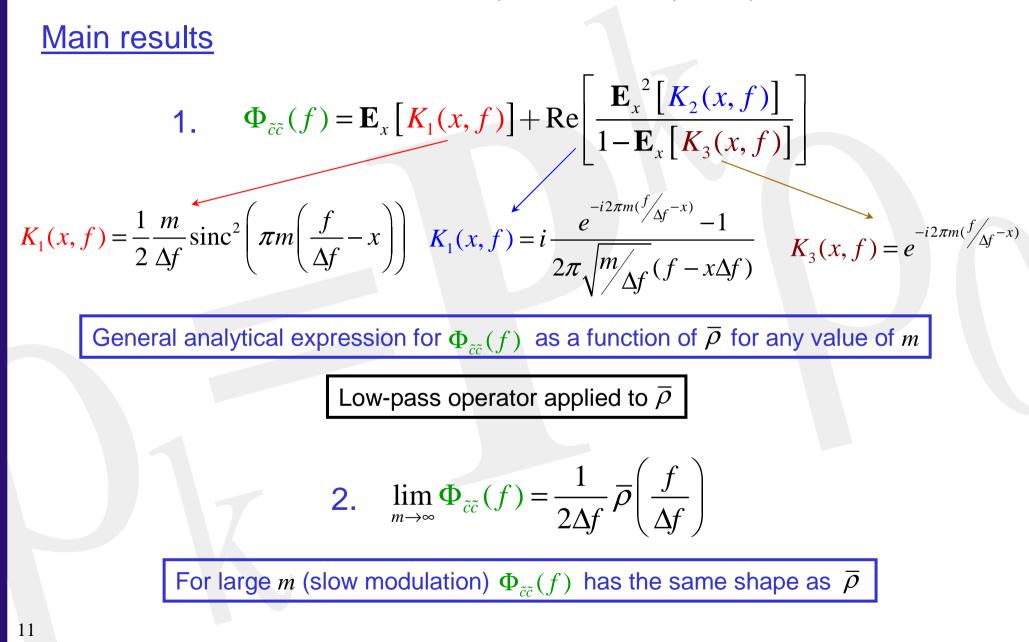
Compute power density spectrum for equivalent low-pass signal

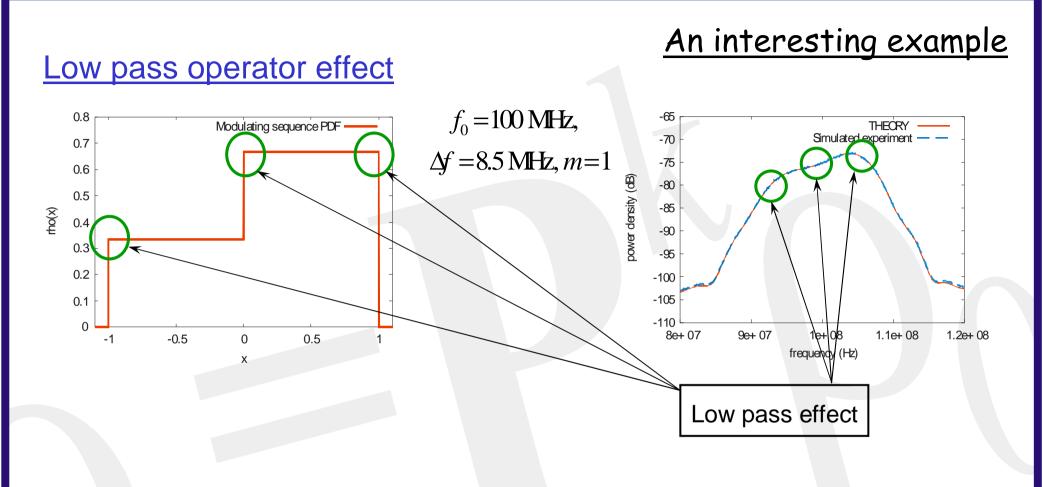
$$\Phi_{\tilde{c}\tilde{c}}(f) = \mathfrak{F}\left[C_{\tilde{c}\tilde{c}}(\tau)\right] = \mathfrak{F}\left[\frac{1}{2T}\int_{0}^{T}\mathbf{E}\left[\tilde{c}^{*}(t)\tilde{c}(t+\tau)\right]dt\right]$$

Power density spectrum can be expressed as

$$\Phi_{\tilde{c}\tilde{c}}(f) \simeq \Phi_{\tilde{c}\tilde{c}}(f-f_0)$$

<u>Spectrum of purely random FM - II</u>

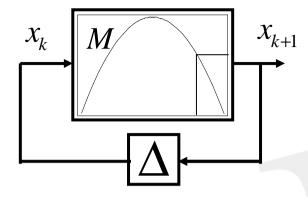




... and with chaotic samples?

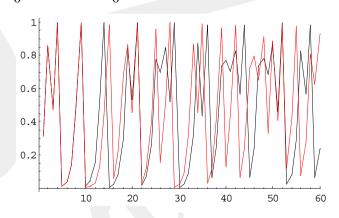
To "implement" random source with the chaotic one, we need to assure that to get the same results!

An alternative approach for studying chaos



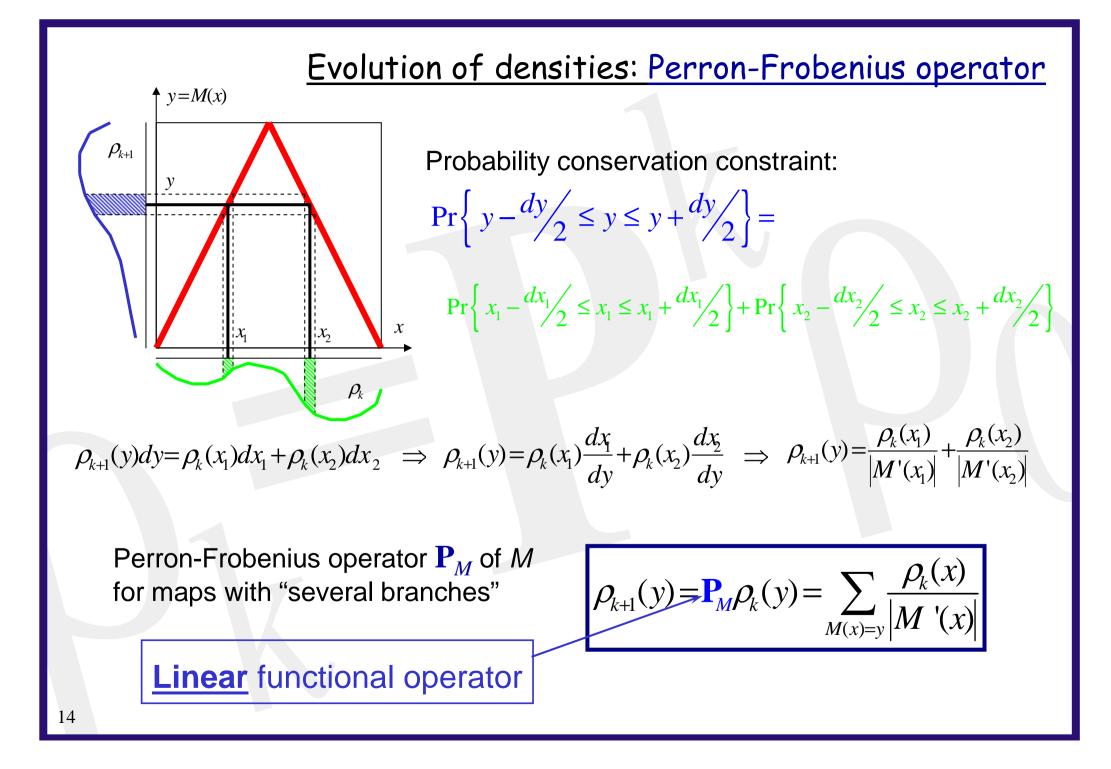
• Chaotic map $x_{k+1} = M(x_k)$ $k \in \mathbb{N}$ with $M: X = [0,1] \mapsto [0,1]$ and $x_0 \in [0,1]$

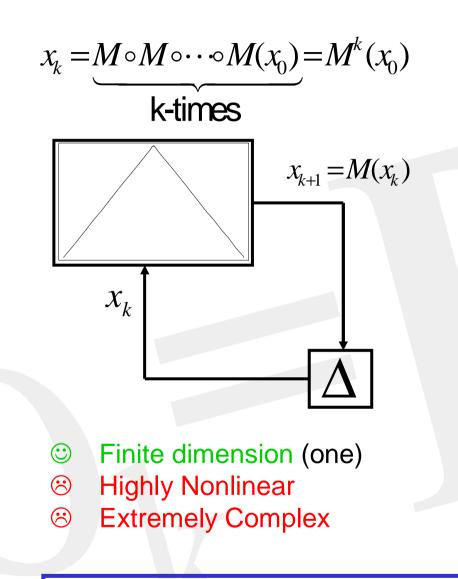
- Evolution of points
 - following single trajectories $x_0, x_1 = M(x_0), x_2 = M(x_1), \cdots$ is difficult
 - sensitive dependence on initial conditions $\dot{x_0} = \pi/10, \ x_0 = \pi/10+10^4$

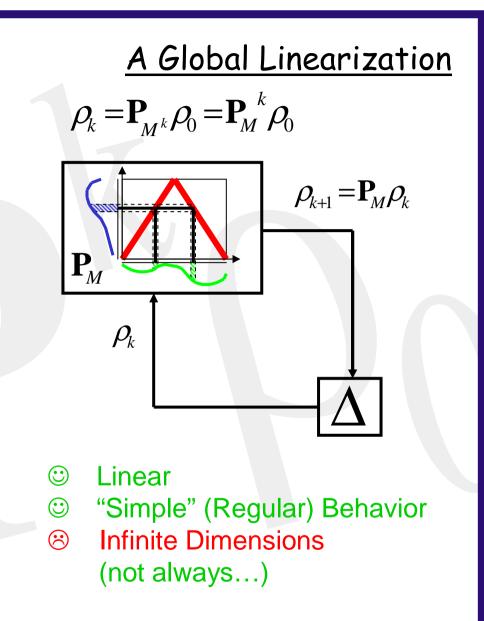


• Evolution of densities $\rho_{0}:[0,1] \rightarrow \mathbb{R}^{+}$ $\Pr\{x-dx/2 \le x_{0} \le x+dx/2\} = \rho_{0}(x)dx$ $\rho_{0} = dx + dx/2 = \rho_{0}(x)dx$

If x_0 is drawn according to ρ_0 , which is the density of $x_1 = M(x_0), x_2 = M(x_1), \dots$?

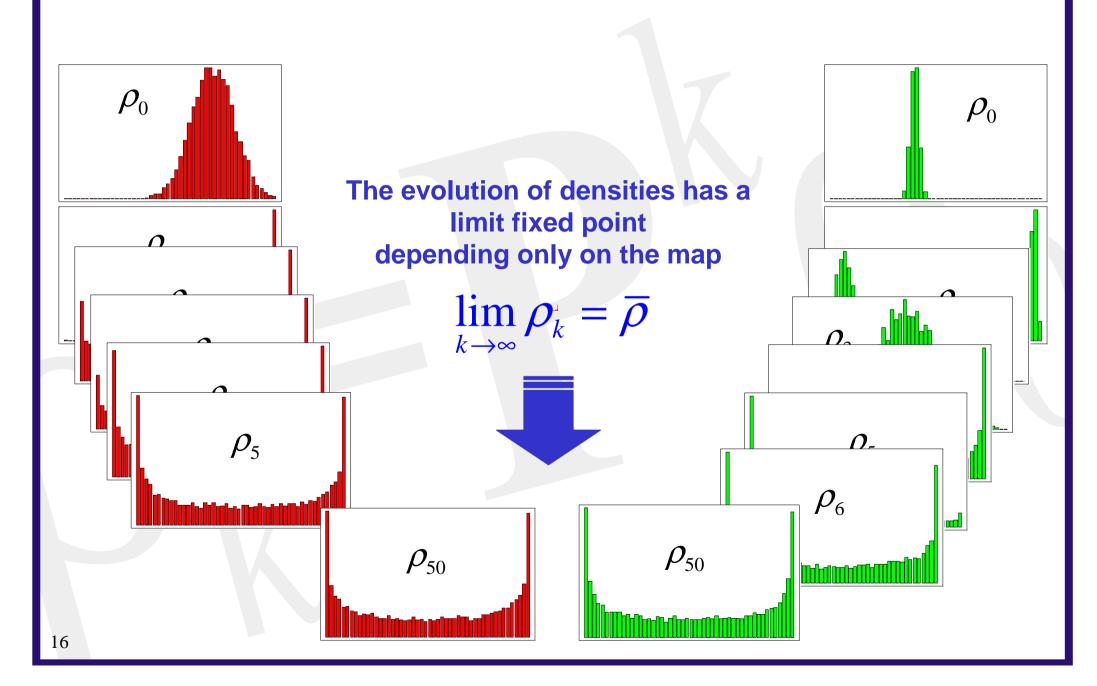


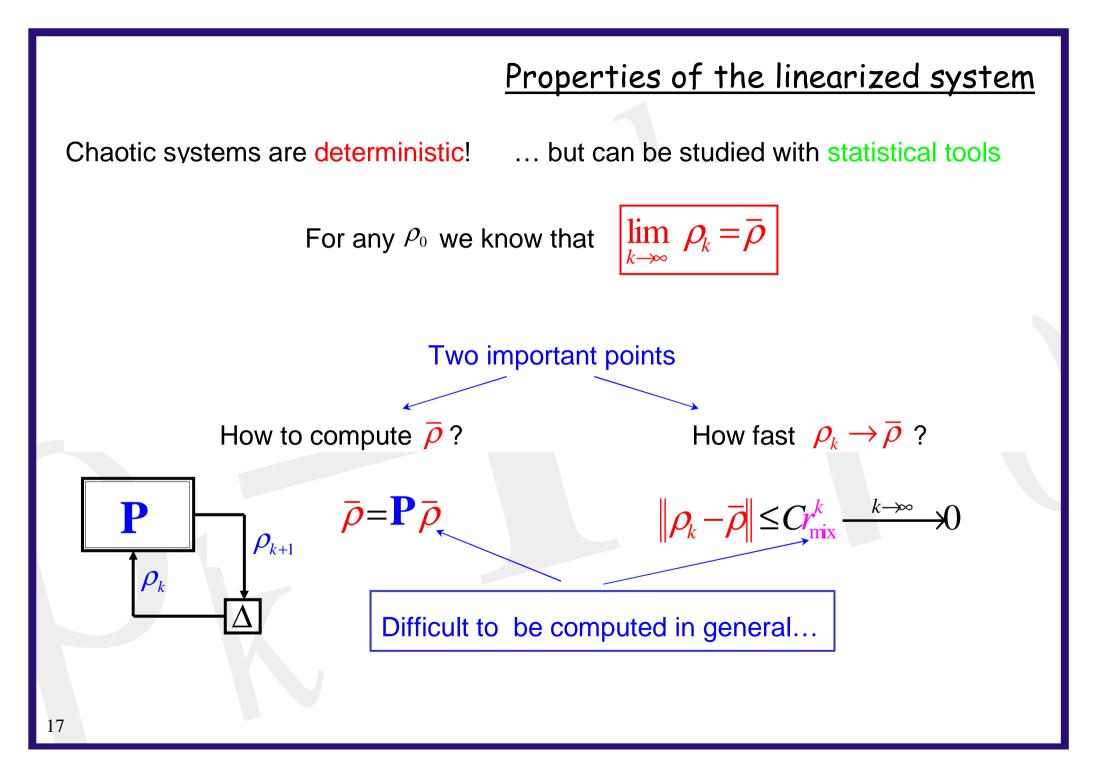


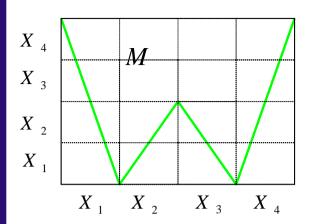


Can we say something on the behavior of the "linearized system"?

<u>An example</u>







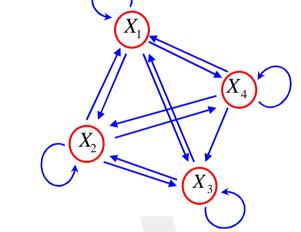
Statistical approach for PWAM maps

• Markov partition: X_1, \dots, X_n such that

 $X_j \subseteq M(X_k) \text{ or } \mu(X_j \cap M(X_k)) = 0 \ \forall j,k$

• Kneading matrix (Transition matrix)

 $\mathcal{K}_{jk} = \frac{\mu \left(X_j \cap M^{-1}(X_k) \right)}{\mu \left(X_j \right)} = \begin{cases} \text{Fraction of } X_j \text{ which} \\ \text{is mappend in } X_k \\ \text{Probability } (\mu) \text{ that } x \text{ moves} \\ X_j \to X_k \text{ given that it is in } X_j \end{cases}$



- $\overline{\rho}$
- The invariant density of PWAM maps is piece-wise constant
 - For such maps *K*≈P
 - To compute $\bar{\rho}$ one computes the left eigenvector $\underline{\alpha}$ of \mathcal{K}

 $\underline{\alpha} = \underline{\alpha} \mathcal{K} \qquad \underline{\alpha}_{W} = (3/4, 3/4, 1/4, 1/4)$

• Moreover $r_{\text{mix}} = \max\{|\lambda|, F(\text{map slopes})\}$ $r_{\text{mix}} = \frac{1}{2}$

 $X_1 \quad X_2$

 $X_3 \quad X_4$

What can we analize/design?

M=?

 \mathcal{X}_k

- Probability density (i.e. how often a certain value appears in the process history)
- Rate of mixing (i.e. how fast the probability describing the distribution of the state converges to the invariant one)
- •Exact finite time crosscorrelation profile (i.e. how each realization of the process is related to other realizations of the same process)
- Exact finite time autocorrelation (i.e. the short-time power spectral density of the process)
- Asymptotic trend of autocorrelation (i.e. power spectral density at low frequencies: exponential trend, polynomial trend, combinations)
- Higher order moments/correlation (i.e. how multiple samples from the process relate to each other)

ain results (Proc IEEE 2002, TCAS-I, 2003)
1.
$$\lim_{r_{\text{mix}} \to 0} \Phi_{\tilde{c}\tilde{c}}(f) = \mathbf{E}_{x} [K_{1}(x, f)] + \text{Re} \left[\frac{\mathbf{E}_{x}^{2} [K_{2}(x, f)]}{1 - \mathbf{E}_{x} [K_{3}(x, f)]} \right]$$
If r_{mix} low chaotic samples approximate purely random variance of f and f

• Chaotic map generates the samples
$$\{x_k\}$$

<u>Mai</u> 1.4

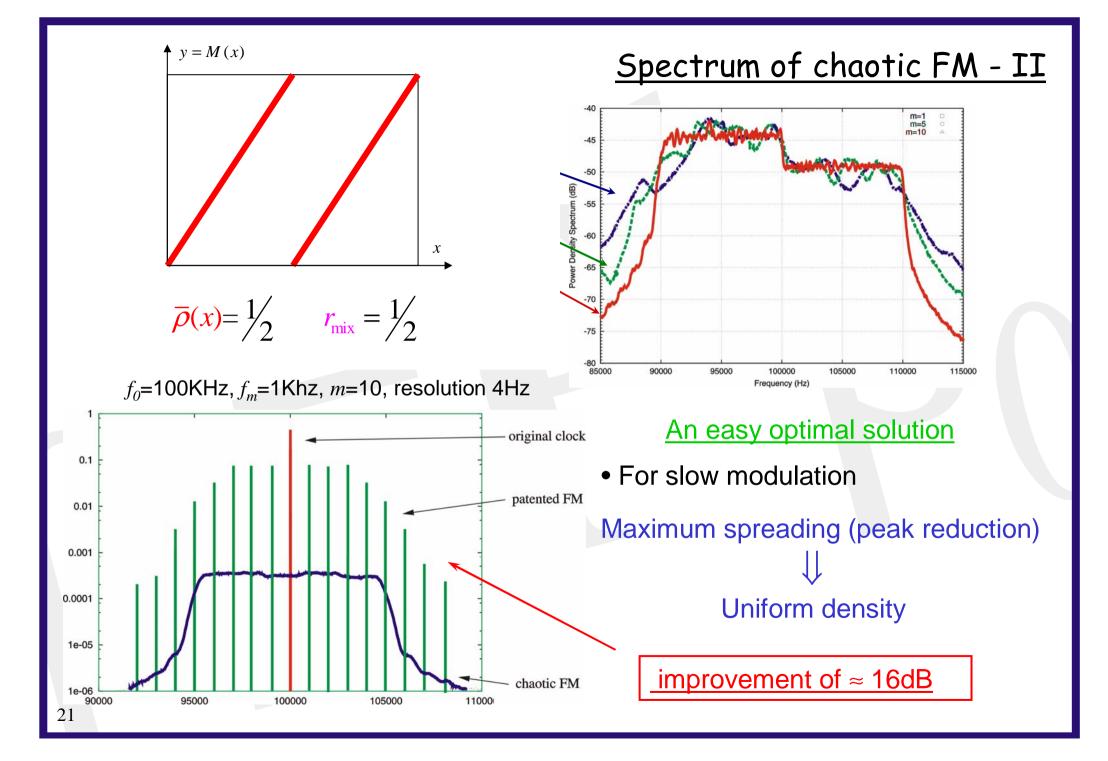
1.
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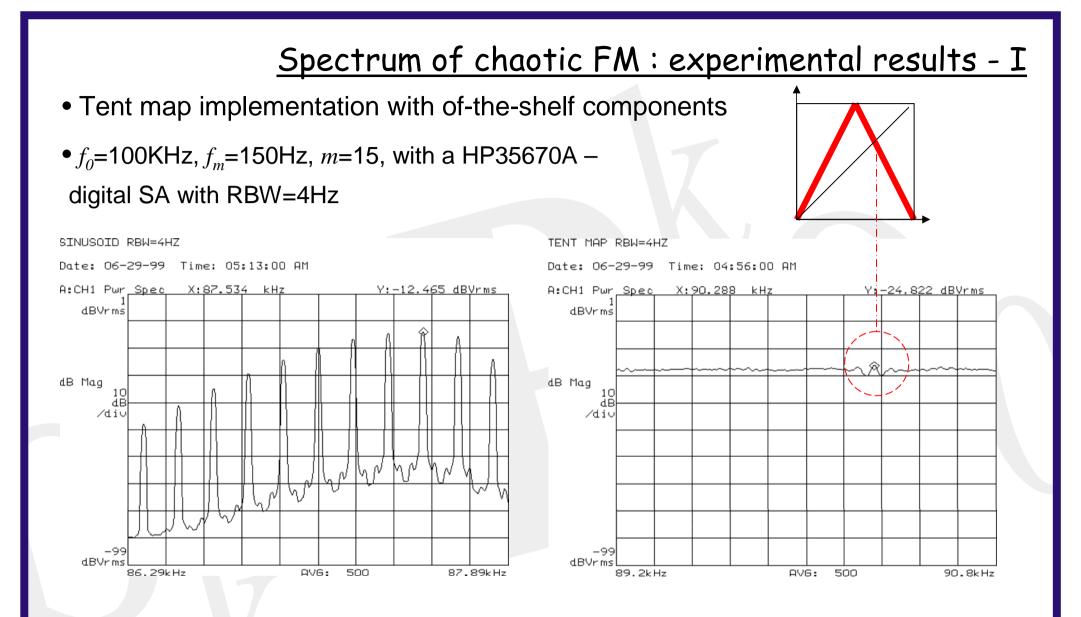
<u>Spectrum of chaotic FM - I</u>

ables

$$\lim_{m \to \infty} \Phi_{\tilde{c}\tilde{c}}(f) = \frac{1}{2\Delta f} \,\overline{\rho}\left(\frac{f}{\Delta f}\right) \qquad \forall f \text{ such that } \not\exists k M^k \left(\frac{f}{\Delta f}\right) = \frac{f}{\Delta f}$$

 $\overline{
ho}$ of) map

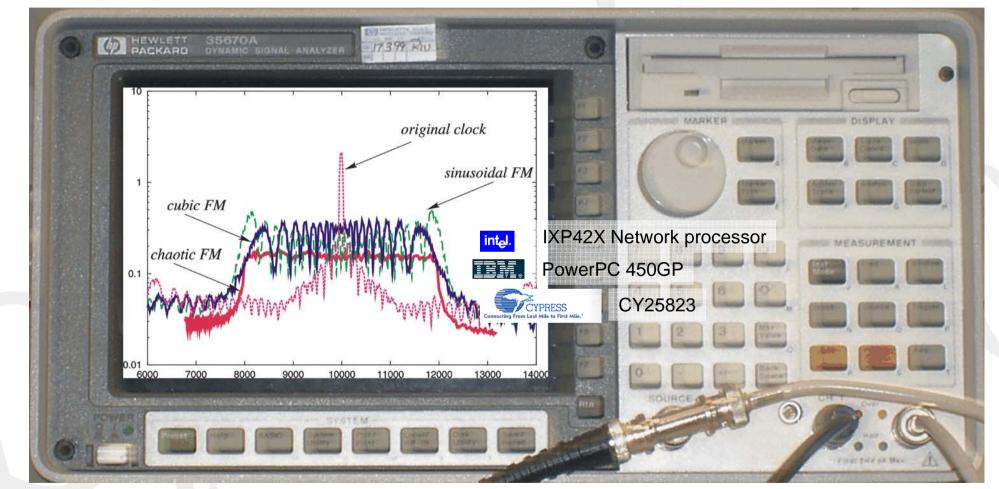




• Reduction of \approx 13dB with respect to the sinusoidal FM

<u>CB-reduction of timing signal generated EMI</u>

• f_0 =10KHz, f_m =50Hz, m=40, with a HP35670A - digital SA with RBW=8Hz



9dB peak reduction with respect to the best known and patented method

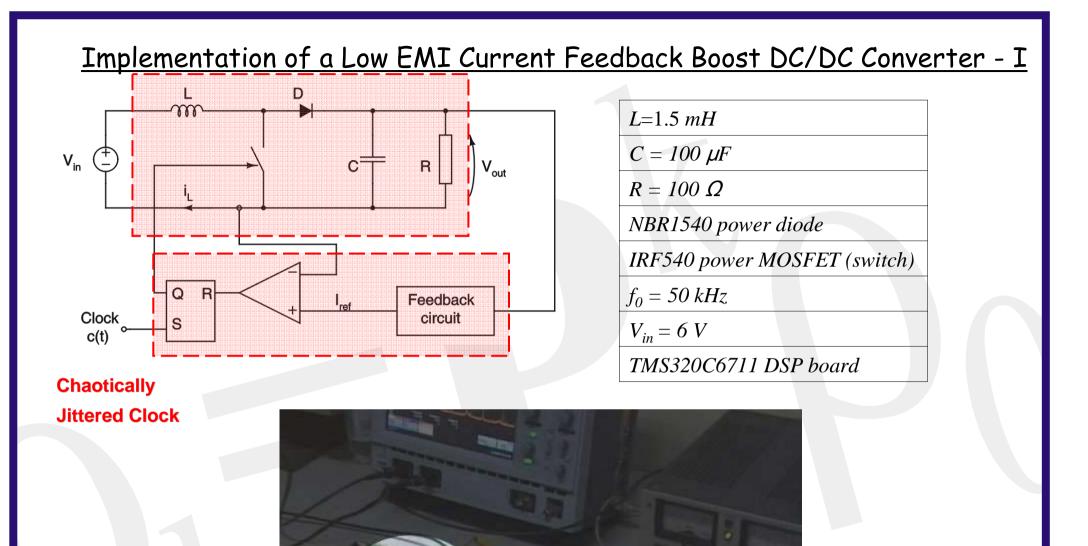
Problems - I

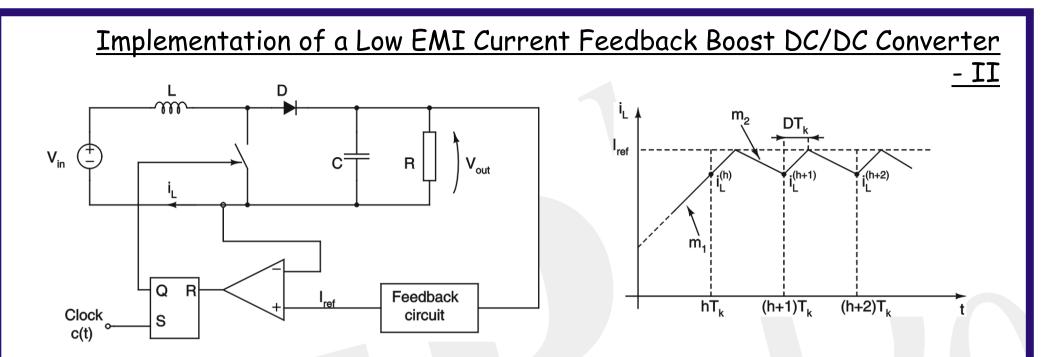
- Methods for reducing EMI due to periodic timing signals
 - Sinusoidal FM
 - Cubic FM (Lexmark patent)
 - Chaos-based FM
- Measured peak reduction of 9dB with respect to previously patented methods
- Analytical tools for computing power spectrum density of chaotic FM signals

Spectrum of the timing <u>control signal</u> only in case of <u>clocks</u>:

- Does this work also for PWM signals?
- Is the reduction present also for all voltages and currents?
- Does it work with a feedback?

Example of a Boost Current Controlled DC/DC Converter





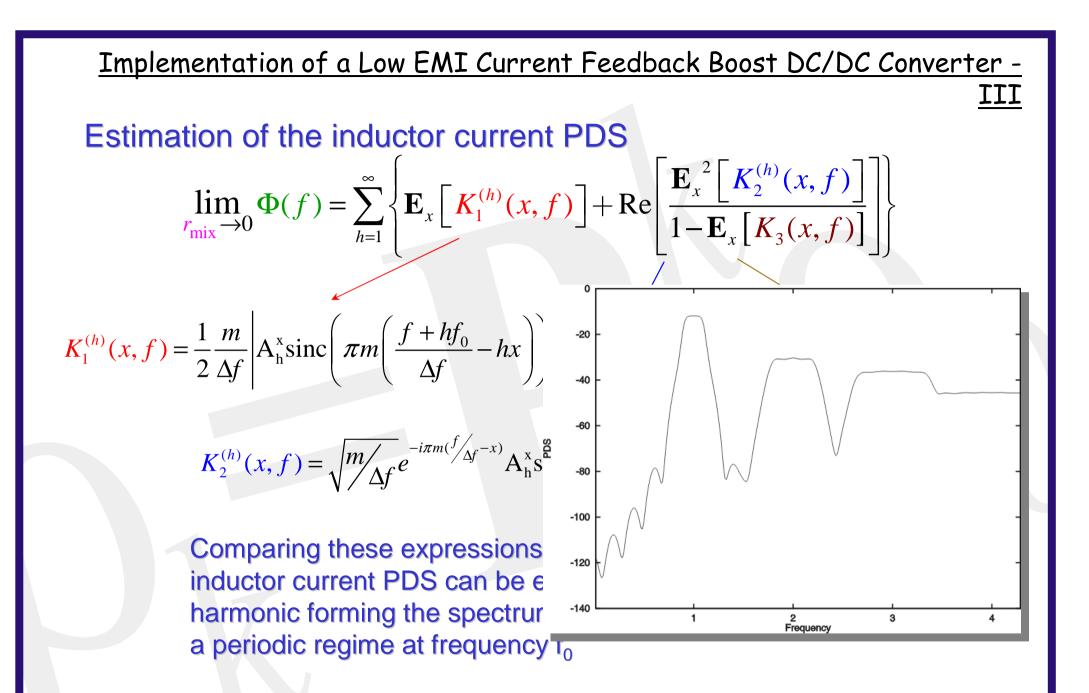
Feedback is present. How does the converter work?

• Assume "standard behavior", i.e. that c(t) is periodic $(T_k) \Rightarrow$ when c(t) \rightarrow 1, i.e. at h T_k , the switch closes and $i_L(t) \uparrow$ until it reaches I_{ref} when the comparator changes its status, the switch opens and $i_L(t) \downarrow$. The behavior of $i_L(t)$ is periodic and

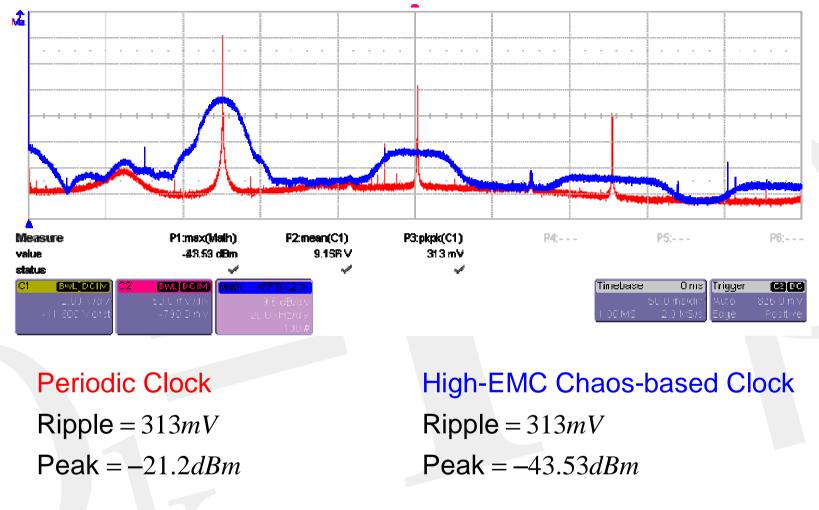
$$i_L^{(h+1)T_k} \to I_{ref} - \frac{m_1 m_2}{m_1 + m_2} T_k$$

 \Rightarrow we can expect peaks in the PDS!!!

 \Rightarrow we need to introduce a jittered clock



Measurement Results



22dB EMI reduction with respect to the conventional case

Ripple performances *are not affected* by modulation

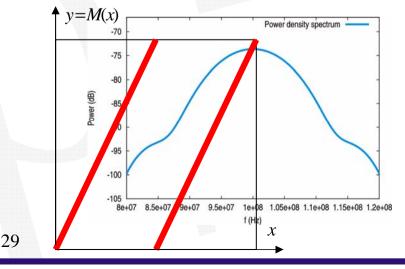
Problems -II

- Methods for reducing EMI due to periodic timing signals
- Measured peak reduction of 9dB with respect to previously patented methods
- Analytical tools for computing power spectrum density of chaotic FM signals
- Methods is applicable for EMI reduction in switching power converters

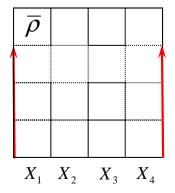
Slow modulation is a good trick to pass the tests, but real failure is proportional to the energy delivered to the victim! \Rightarrow A fast modulation (low *m*) would be

much better!

• Bernoulli shift f_0 =1MHz, Δf =100KHz, m=0.425



Ultimate limit of this idea: *perfectly random binary modulation*



Among different spread-spectrum techniques, we choose

<u>Fast binary FM - I</u>

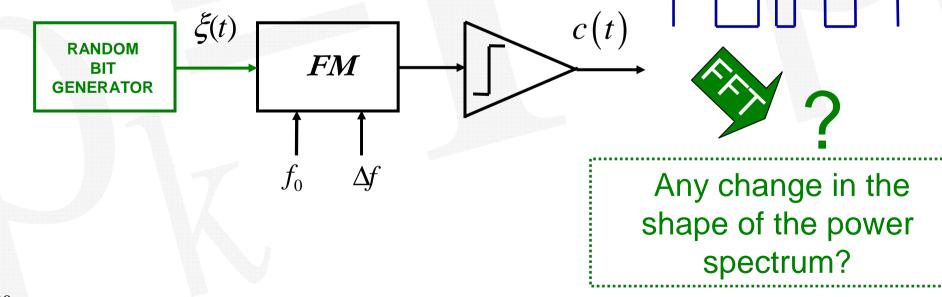
Fast Binary Random frequency modulation

$$c(t) = \operatorname{sgn}\left(\cos\left[2\pi\left(f_0t + \Delta f\int_{-\infty}^t \xi(\tau)d\tau\right)\right]\right), \text{ output signa}$$

<u>Fast</u> T_m short with respect to $1 / \Delta f$

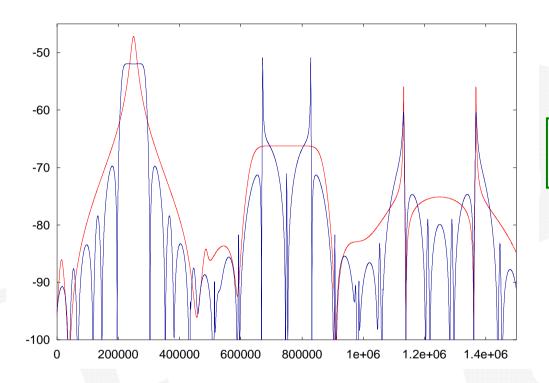
Binary driving signal is a PAM signal with only two values

<u>Random</u> { x_k } random variable which assumes the values {0,1} with the same probability p=0.5



Fast binary FM - II

The shape of the spectrum depends on the modulation index



m = 0.106m = 0.318

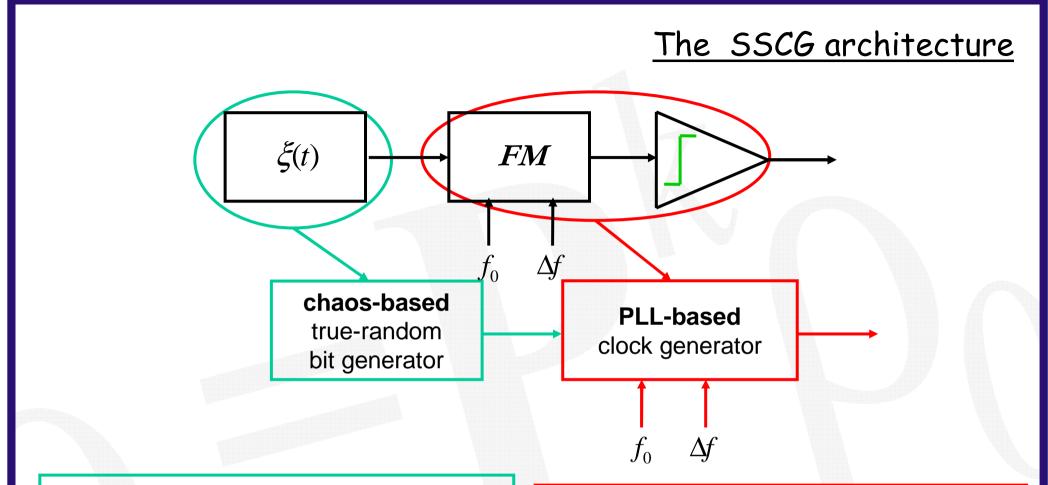
m is set properly to flatten the power spectrum in the desired interval

The first harmonic has the highest power content

usually m = 0.318

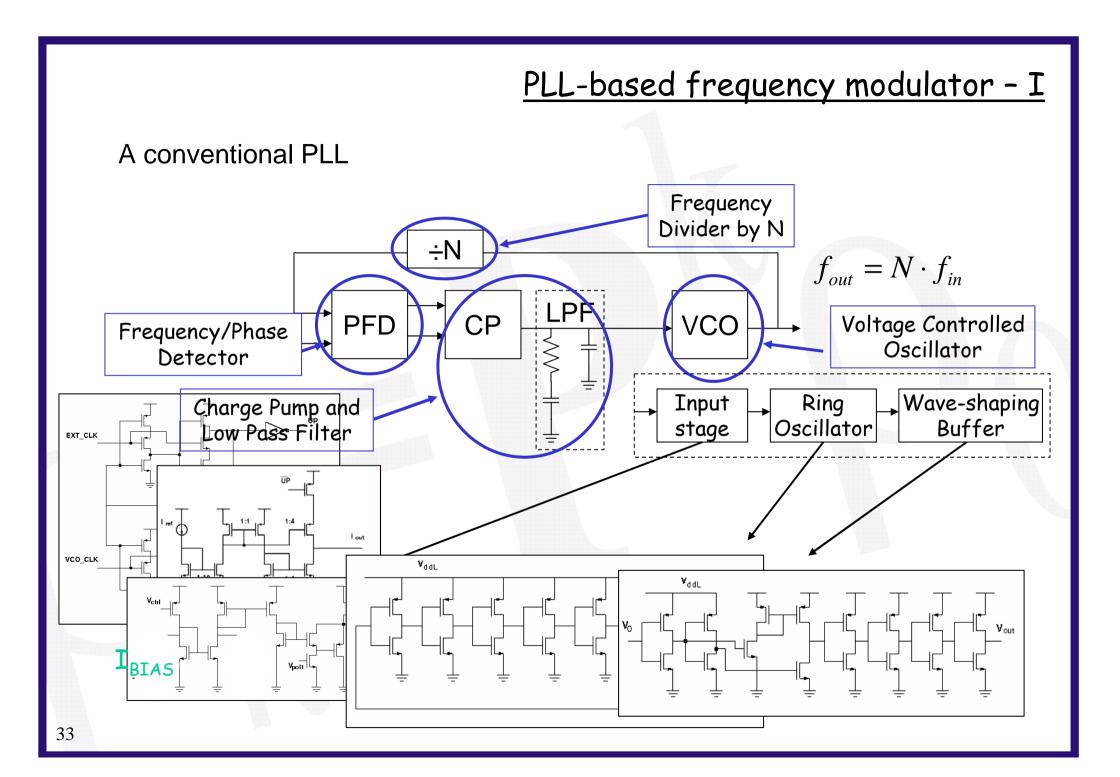
Why do we use this modulation if it still features peaks on the spectrum?

- It is a fast modulation, i.e. the output frequency is maintained unaltered for a very short period of time
- It achieves the best peak reduction on the first harmonic with respect to all other known modulations



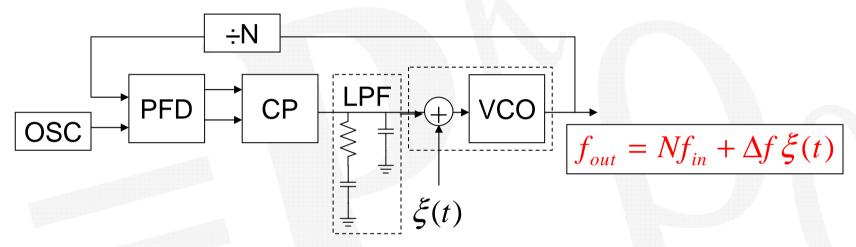
- produces sequences with very low correlation (performances depends on sequence statistics)
- achieves a very high throughput (we want a *fast* modulation)

- Sets the main frequency with high precision (quartz) external clock
- Could perform a frequency multiplier
- Only few modifications are required in order to achieve a binary modulator from a standard PLL



PLL-based frequency modulator - II

A conventional PLL has been modified with the interposition of an <u>analog</u> <u>adder</u> at the VCO input

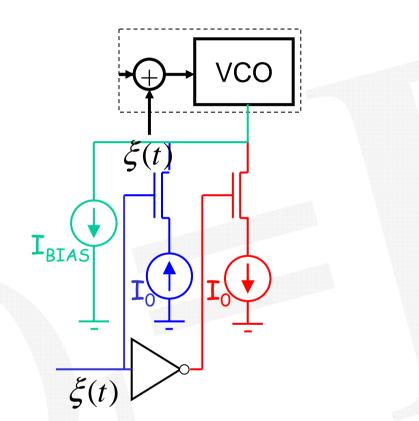


- The driving signal is added to the VCO control voltage, and "modulate" directly the output clock
- If the driving signal is *high frequency* with respect to the LPF bandwidth, it cannot pass through the feedback loop.

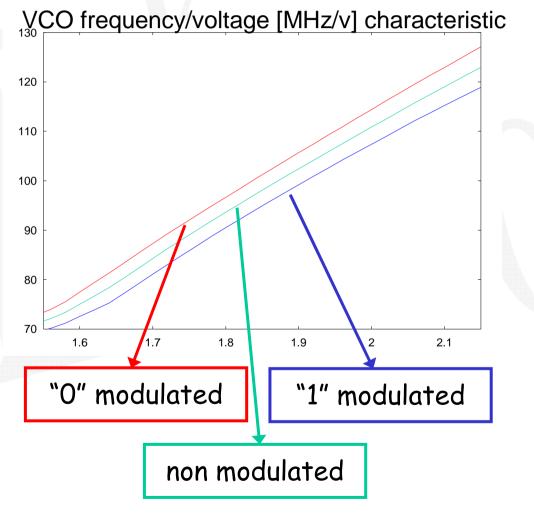
With respect to high frequency driving signals, this circuit behaves as a frequency modulator!

The PLL-based frequency modulator - III

Since the driving signal is a <u>digital</u> signal a full analog adder is not required!!

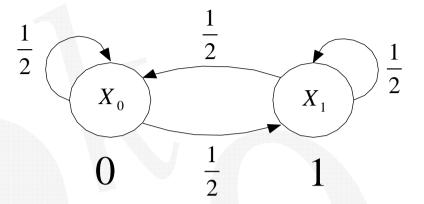


the *digital driving signal* drives two pass-transistors, changing the biasing of the VCO and *shifting* its f/v characteristic

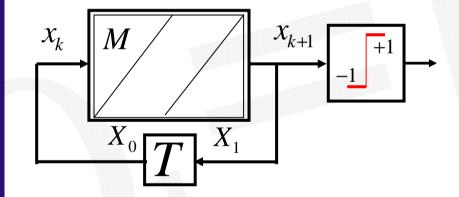


<u>The true-random bit generator - I</u>

Random Bit Generator can be obtained by the following Markov chain:



• We based our random bit generator on a simple, chaotic map...

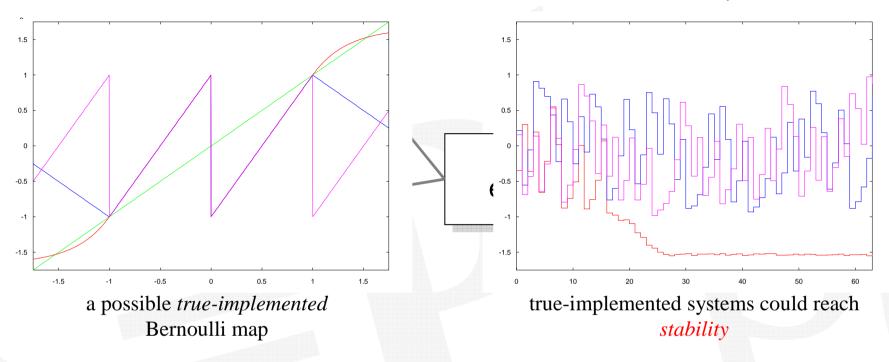


• Chaotic map $x_{k+1} = M(x_k)$ $k \in \mathbb{N}$ with $M: I = [-1,1] \mapsto [-1,1]$ and $x_0 \in [-1,1]$

...and obtain a random bit from a quantization of the map state x_k .

Not all chaotic map are suitable for a real implementation!

How to deal with map robustness?



MAP ROBUSTNESS is a fundamental issue!!!

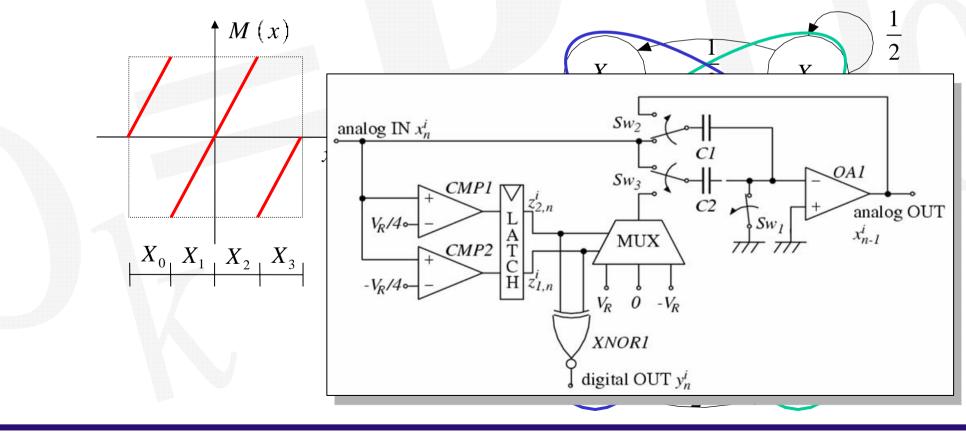
• To prevent parasitic equilibria one introduces suitably defined behavior outside the invariant set: *hooks, tails,...*

Is there a particularly interesting solution?

The true-random bit generator - II

The implemented map is the following variation of the Bernoulli map

- It is a robust map, i.e. it still maintains the desired behavior even with little implementation error (*analog implementation* is necessary)
- It is a Markov Map, i.e. it can be studied through a Markov chain
- A circuit implementing this map is used in pipeline 1.5 bit/stage ADCs!



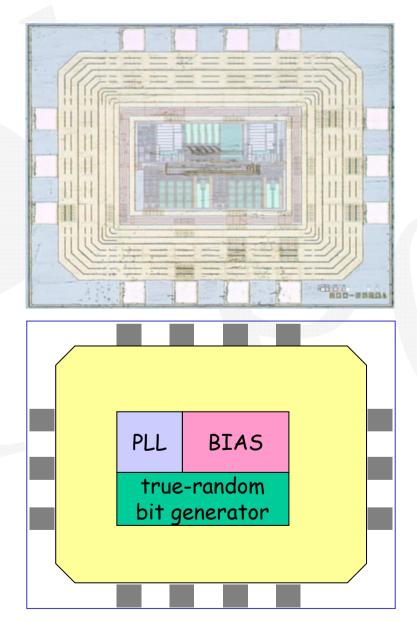
				<u>Comparisons</u>
		FPGA True RNG	FPAA Chaotic True RNG	ADC Based True RNG
	Randomness source	Microcosmic (PLL)	PWAM (reconfig)	PWAM (ADC)
	System Speed	90 MHz	Up to 1 MHz	14 MHz
	Random bit Rate	70 Kbit/sec	Up to 1 Mb/s per source (5 per chip)	> <u>20 Mb/s</u> (>100 times faster)
	RNG Conformance for cryptograpic applications	NIST 800-22 in implementation	Unknown	NIST 800-22, 140.2 and DieHard in implementation
20				Patent Pending
39				

A prototype has been implemented in AMS CMOS $0.35 \mu m$ technology

Features:

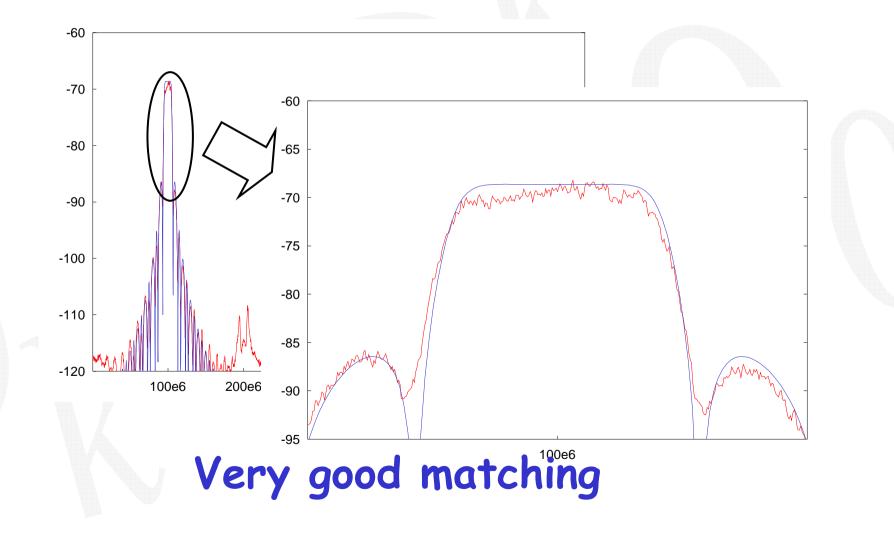
Area	1380 µm x 1180 µm	
Power supply voltage	3.3 V	
Power consumption	6.2 mW (PLL) 20.5 mW (whole circuit)	
Typical working frequency	100 MHz	
Maximum frequency deviation	20 MHz	
Maximum TRBG working frequency	10 MHz	

<u>Circuit prototype</u>



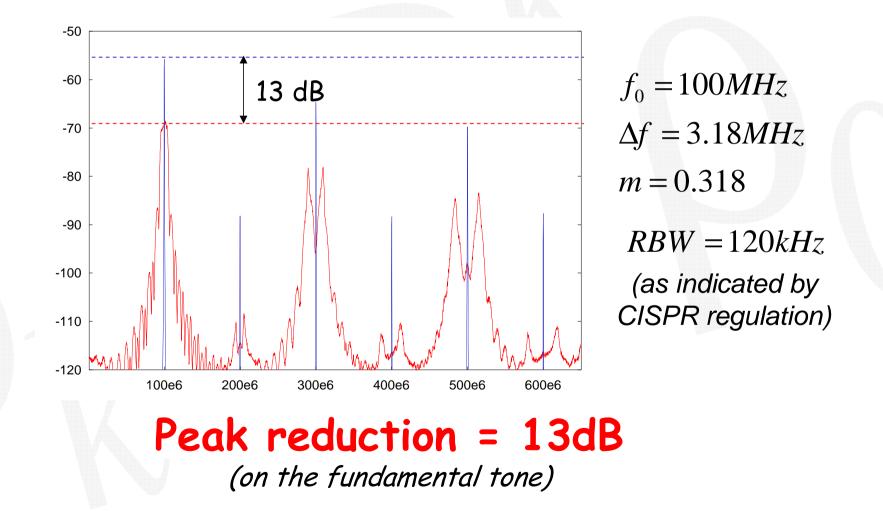
<u>Circuit post-layout simulations</u>

Comparison between *theoretical* and *simulated* (from extracted netlist) power spectrum



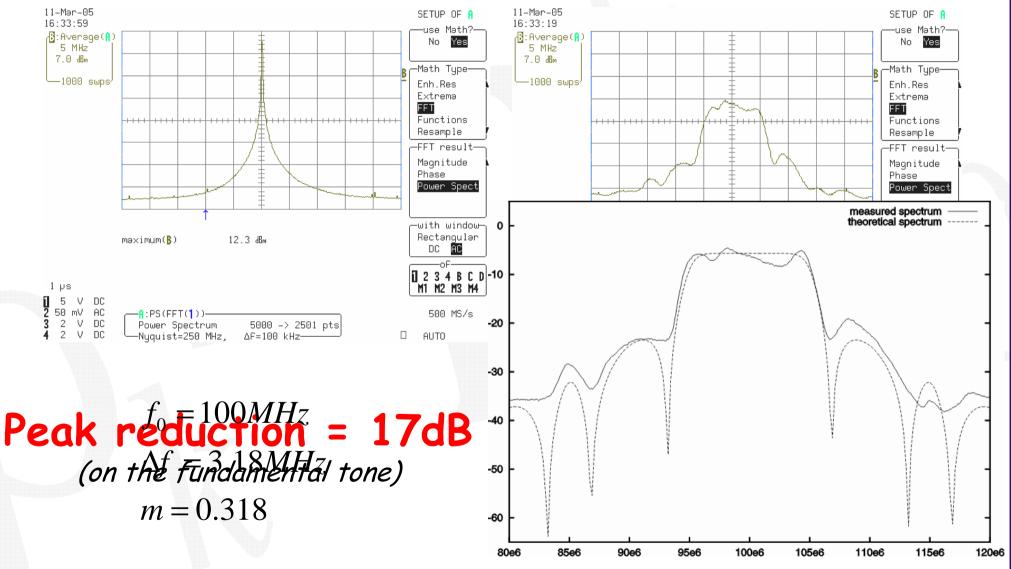
<u>Circuit post-layout simulations</u>

Comparison between *non-modulated* (standard clock) and *modulated* (spread-spectrum clock) power spectrum



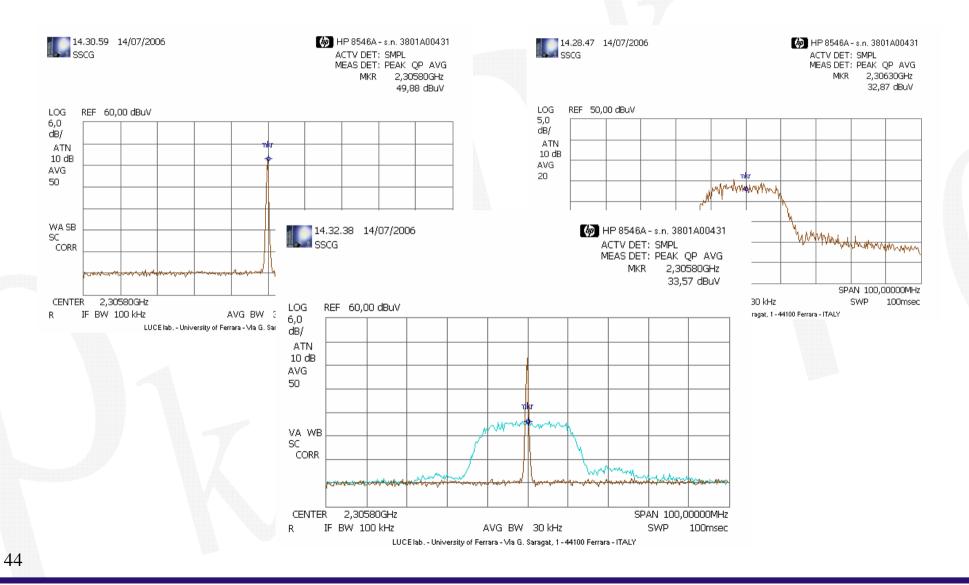
Integrated spread-spectrum clock prototype

Comparison between *non-modulated* (standard clock) and *modulated* (spread-spectrum clock) power spectrum



Does it work at hiher frequencies?

 New prototype of clock generator designed to work at in a tunable range between 2 and 2.5 GHz (UMC L180, 0.18μm, 1P6M)



- Novel chaos-based methodology for EMI reduction in switching power converters and digital circuits and boards...
- ... shields need is reduced so that cost and volume occupation are reduced senza introdurre schermi e quindi
- Low-EMI clock generator: 9dB EMI reduction with respect to the best known and previously patented methods (used by IBM, Intel, Cypress). IC prototype working at 100MHz has been implemented and fully tested. Working frequencies can be increased.
- Current Feedback DC-DC converter: 22dB EMI reduction with respect to the unperturbed case. Prototype realized with off-the-shelve components. Integrated version is a future step.
- Can be applied with all switching converters (also to drive motors)
- Future works: Application to SATA2 drivers (1.5GHz to 3GHz)

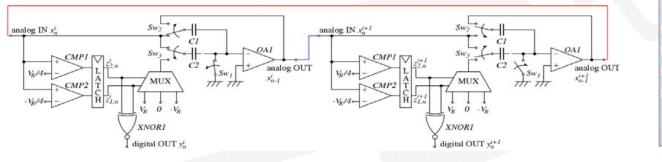


Conclusion -I



Conclusion -II

- Chaos-based generation of stochastic process with prescribed statistical features has been proved to give key advantages in:
- True Random Number Generation for Cryptographic Applications (Patent Pending)



- Implementation based on standard pipeline ADC structure ⇒ extreme design reuse
- Analytical proof that ideal system generates perfectly random bits (i.i.d.)
- Implementation in 0.35 AMS CMOS technology (3.3V supply, A=1480 um x 1620 um, fB = 40Mb/s (8-stages)) ⇒ >100 times faster than state of the art True-RNG
- Implementation <u>satisfy NIST test suites</u> (800-22, 140.2) and <u>DieHard</u>

<u>Some bibliography - I</u>

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<u>Some bibliography - II</u>

EMI Reduction

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<u>Measurements Results</u>

